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MATHEMATICS TRIPOS

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**Riemann Surfaces**

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# 1 Complex analysis & Branching/Multivalued functions

## 1.1 Holomorphicity

**Definition** (holomorphic/analytic function). A smooth function  $f : U \rightarrow \mathbb{C}$  from a domain (i.e. an open connected subset of  $\mathbb{C}$ ) is *holomorphic* or *analytic* if either of the following holds:

1.  $f$  is differentiable in the sense of limits (which is equivalent to satisfying the Cauchy-Riemann equations),
2. for each  $a \in U$ ,  $f$  has a power series expansion

$$f(z) = \sum_{n \geq 0} a_n (z - a)^n,$$

valid on some disk  $D(a, r)$  with positive radius  $r > 0$ .

**Remark.** 1 implies 2 since  $f$  being differentiable allows us to construct  $a_n$  using Cauchy Integral Formula. 2 implies 1 since  $f$  having power series allows term-by-term differentiation.

By 2, if  $a \in U$  and  $f$  is not identically 0 near  $a$ , then there exists some minimal  $m \geq 0$  such that  $a_m \neq 0$ . It follows that  $f(z) = a_m (z - a)^m (1 + g(z - a))$  where  $\lim_{z \rightarrow a} g(z - a) = 0$ . Therefore for  $z$  sufficiently close to  $a$ ,  $f$  is nonzero. This is known as

**Theorem 1.1** (principle of isolated zeros). *An analytic function on a domain  $U$  which is not identically zero has isolated zeros, i.e. around each  $a \in U$ , there exists a disk  $\Delta_a$  on which  $f(z) \neq 0$  unless possibly at  $z = a$ .*

If  $f$  is identically 0 near  $a$ , then there exists a disk  $\Delta_a$  on which  $f(z) = 0$  for all  $z \in \Delta_a$ . Consider  $V := \bigcup_{a: f|_{\Delta_a} = 0} \Delta_a$  and  $W := \bigcup_{a: f \neq 0 \text{ near } a} \Delta_a$ .  $V$  and  $W$  are open and disjoint so by connectivity of  $U$ , one of them is empty so  $f = 0$  on  $U$  or has isolated zeros. Thus having isolated zero is a property of a domain, not a local property.

**Corollary 1.2.** *If  $f$  and  $g$  are analytic on  $U$  then either  $f = g$  on  $U$  or  $f(z) = g(z)$  on a discrete set.*

**Definition** (isolated singularity). If  $f$  is analytic on the punctured disk  $D(a, r)^* := D(a, r) \setminus \{a\}$  for some  $r > 0$ , then  $f$  has an *isolated singularity* at  $a$ .

In this case, we obtain the analogue of power series, *Laurent series* at  $a$

$$f(z) = \sum_{n=-\infty}^{\infty} c_n (z - a)^n.$$

There are three possibilities:

1. removable singularity:  $c_n = 0$  for all  $n < 0$ .
2. pole: there exists  $N < 0$  such that  $c_N \neq 0$  and  $c_n = 0$  for all  $n < N$ . We say  $f$  has a pole of order  $-N$  and can write  $f(z) = (z - a)^N g(z)$  where  $g$  is analytic and nonzero at  $a$ .
3. essential singularity:  $c_n \neq 0$  for infinitely many  $n < 0$ .

However, characterisation in terms of Laurent series is coordinate-dependent. Intrinsically, recall that

**Theorem 1.3.**  $f$  has a removable singularity at  $a$  if and only if  $f$  is bounded on  $D(a, r)^*$ .

**Theorem 1.4** (Casorati-Weierstrass).  $f$  has an essential singularity at  $a$  if and only if for every punctured disk  $D(a, r)^*$  in the domain of  $f$ , the image  $f(D(a, r)^*)$  is dense in  $\mathbb{C}$ .

For completeness sake, we state that  $f$  has a pole at  $a$  if and only if neither of the above happens (so  $\lim_{z \rightarrow a} |f(z)| = \infty$ ).

This allows us, for example, to extend the definitions to infinity. Consider the Riemann sphere  $\mathbb{C}_\infty$ , on which a neighbourhood of infinity is the complement of a closed set not including  $\infty$ . Mapping it to the complex plane, we define a punctured disk around  $\infty$  to be the complement of a closed disk in  $\mathbb{C}$ . Then we can talk conveniently about singularity at  $\infty$ .

**Example.**  $f(z) = \frac{1}{e^z - 1}$  is meromorphic on  $\mathbb{C}$  with poles at  $z = 2\pi ni$  where  $n \in \mathbb{Z}$ . By considering  $g(z) = \frac{z}{e^z - 1}$  which has a removable singularity at 0, we know  $f$  has a pole of order 1 at 0, and therefore at all poles by periodicity.

At  $\infty$ , we have an essential singularity: along the imaginary axis,  $|f(z)|$  can be arbitrarily big so it cannot be a removable singularity. Along the positive real axis,  $|f(z)| \rightarrow 0$  so it cannot be a pole.

**Definition** (meromorphic function).  $f$  is *meromorphic* on a domain  $U \subseteq \mathbb{C}_\infty$  if it has only isolated singularities, none of which are essential.

## 1.2 Complex logarithm & Analytic continuation

Given nonzero  $z = re^{i\theta}$ , if  $e^w = z$ , we know that  $w = \log r + (2\pi n + \theta)i$  for some  $n \in \mathbb{Z}$ . We can make a continuous choice of  $\log z$  on, for example,  $U = \mathbb{C} \setminus \mathbb{R}_{\geq 0}$ , by choosing  $0 < \theta < 2\pi$  and fixing some  $n \in \mathbb{Z}$ . This makes  $f_n(z) := \log r + (2\pi n + \theta)i$  a well-defined continuous analytic function on  $U$ .

**Note.**

1. If  $g : U \rightarrow V$  is an analytic bijection, then any inverse  $h : V \rightarrow U$  is analytic.
2. If  $g : U \rightarrow V$  is analytic, then any *continuous* inverse  $h : V \rightarrow U$  is analytic.

More naturally,

**Proposition 1.5.** Fix  $n \in \mathbb{Z}$  and define  $h(z) := \int_{-1}^z \frac{dw}{w} + (2n+1)\pi i$  for  $z \in U$ , where the integral is taken over the straight line from  $-1$  to  $z$ , then  $h$  is analytic on  $U$  and inverse to  $z \mapsto e^z$ .

*Proof.* First show  $h$  is analytic with  $f'(z) = \frac{1}{z}$ .

$$\frac{h(z+\tau) - h(z)}{\tau} = \frac{1}{\tau} \int_z^{z+\tau} \frac{dw}{w}$$

for  $\tau$  sufficiently small (such that the triangle formed by  $-1$ ,  $z$  and  $z+\tau$  lies in  $U$ ) by Cauchy's Theorem. Then

$$\left| \frac{1}{\tau} \int_z^{z+\tau} \frac{dw}{w} - \frac{1}{z} \right| = \left| \frac{1}{\tau} \int_z^{z+\tau} \frac{z-w}{zw} dw \right| \rightarrow 0$$

as  $\tau \rightarrow 0$ .

Now define  $g(z) = \frac{e^{h(z)}}{z}$  so  $g'(z) = \frac{ze^{h(z)}h'(z) - e^{h(z)}}{z^2}$  and so  $g'(z) = 0$  identically.  $g(-1) = 1$  so  $e^{h(z)} = z$  for all  $z \in U$ . □

**Definition** (direct analytic continuation). A *function element* in a domain  $U$  is a pair  $(f, D)$  where  $D$  is a subdomain of  $U$  and  $f$  is an analytic function on  $D$ . Two function elements  $(f, D)$  and  $(g, E)$  are equivalent, write  $(f, D) \sim (g, E)$  if  $D \cap E \neq \emptyset$  and  $f = g$  on  $D \cap E$ .

We say  $(g, E)$  is a *direct analytic continuation* of  $(f, D)$ .

Why do we make such a definition? We know the power series

$$\sum_{r \geq 0} z^k = \frac{1}{1-z}$$

is defined on  $D(0,1)$  and cannot be extended to any larger domain due to natural boundary. However,  $\frac{1}{1-z}$  is holomorphic on  $\mathbb{C} \setminus \{1\}$  so sometimes the domain forced by the definition of a function is not the maximal possible. In other words, sometimes we are looking at the “correct” function with a “wrong” domain.

**Definition** (analytic continuation along path). We say  $(g, E)$  is an *analytic continuation of  $(f, D)$  along  $\gamma$*  if  $\gamma : [0,1] \rightarrow U$  and there exist function elements  $(f_i, D_i)$ ,  $i \in \{0, \dots, n\}$  and  $0 = t_0 < t_2 < \dots < t_n = 1$  such that

$$(f, D) = (f_0, D_0) \sim (f_1, D_1) \sim \dots \sim (f_{n-1}, D_{n-1}) \sim (f_n, D_n) = (g, E)$$

and  $\gamma([t_j, t_{j+1}]) \subseteq D_j$  for  $j \in \{0, \dots, n-1\}$ .

Write  $(f, D) \approx_\gamma (g, E)$ .

**Remark.** As  $\mathbb{C}$  has a path-connected basis for the topology, domains are path-connected.

**Definition** (analytic continuation). We say  $(g, E)$  is an *analytic continuation* of  $(f, D)$  if there exists a path  $\gamma$  such that  $(f, D) \approx_\gamma (g, E)$ . In this case we write  $(f, D) \approx (g, E)$ .

**Remark.**

1. If  $(f, D) \approx_\gamma (g, E)$  and  $(f, D) \approx_\gamma (h, E)$  then  $g = h$  by repeated application of the identity principle. In other words,  $g$  is completely determined by  $f$  and  $\gamma$ .
2. Analytic continuation is an equivalence relation (exercise), but direct analytic continuation is *not* transitive, even if we require pairwise intersections of the domains to be nonempty. In fact, that is the whole point of analytic continuation along path.

**Definition** (complete analytic function). An equivalence class of a function element under  $\approx$  is a *complete analytic function*.

**Example** (complex logarithm). Let  $U = \mathbb{C}$  be the ambient space. Given  $\alpha < \beta$  in  $\mathbb{R}$ , define

$$E_{(\alpha, \beta)} := \{re^{i\theta} : r > 0, \alpha < \theta < \beta\}.$$

Note  $\mathbb{C} \setminus \mathbb{R}_{\geq 0} = E_{(0, 2\pi)}$ . If  $\beta - \alpha \leq 2\pi$ , define

$$f_{(\alpha, \beta)}(z) = \log r + i\theta$$

where  $z = re^{i\theta}$ ,  $\alpha < \theta < \beta$ . Then  $(f_{(\alpha, \beta)}, E_{(\alpha, \beta)})$  is a function element for any such  $\alpha, \beta$ .

Let

$$\begin{aligned} A &= \left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \\ B &= \left(\frac{\pi}{6}, \frac{7\pi}{6}\right) \\ C &= \left(\frac{5\pi}{6}, \frac{11\pi}{6}\right) \end{aligned}$$

and  $\gamma : [0, 1] \rightarrow U, t \mapsto e^{2\pi it}$  and choose

$$0 = t_0 < t_1 = \frac{1}{6} < t_2 = \frac{1}{2} < t_3 = \frac{5}{6} < t_4 = 1$$

and  $(f_A, E_A), (f_B, E_B), (f_C, E_C)$  the corresponding function elements.

When the *intervals* overlap, the function elements agree so

$$(f_A, E_A) \sim (f_B, E_B) \sim (f_C, E_C),$$

but

$$f_C(z) = f_A(z) + 2\pi i, z \in E_A \cap E_C$$

which shows nontransitivity of  $\sim$ . In fact,  $f_A + 2\pi i \sim f_C$ . However we see  $(f_A, E_A) \approx_\gamma (f_C, E_C)$  and so  $(f_A, E_A) \approx (f_C, E_C)$ . By repeating the process with intervals moving to infinity in  $\mathbb{R}$ , we see that all the  $\log r + (2\pi n + \theta)i$  are

in the same class for  $\approx$ . On the other hand, if  $(f, D) \approx_\gamma (f_{A'}, E_{A'})$  for some interval  $A'$  then applying identity principle along the path to  $e^{f_i}$  shows that  $f$  is one of the branches of log.

Now we can define a space that contains all branches of logarithm. On  $U = \mathbb{C} \setminus \mathbb{R}_{\geq 0}$ , define

$$f_n(z) = \log z + (2\pi n + \theta)i$$

where  $0 < \theta < 2\pi$ . Then  $(f_n, U)$  are function elements in the complete analytic function of log, and “almost” all of them. Take  $\mathbb{Z}$  copies of  $U$  and we can glue them along  $\mathbb{R}_{\geq 0}$ . More precisely, for any  $n \in \mathbb{Z}$  and  $\alpha > 0$ , there exists a neighbourhood  $V$  of  $\alpha$  and a function element  $(g, V)$  such that

$$(f_{n+1}, E_{(0, \varepsilon)}) \sim (g, V) \sim (f_n, E_{(2\pi - \varepsilon, 2\pi)})$$

for some  $\varepsilon > 0$ .

This object is the “gluing construction” of the Riemann surface associated to log. Since these  $(g, V)$  exist, the resulting surface  $R$  will admit a *continuous* function  $f$  such that the following diagram commutes:

$$\begin{array}{ccc} R & \xrightarrow{f} & \mathbb{C} \\ \downarrow \pi & \swarrow \exp & \\ \mathbb{C}^* & & \end{array}$$

The rigorous construction is as follow. Let  $R = \coprod_{k \in \mathbb{Z}} \mathbb{C}^*$  and a basis for the topology on  $R$  is

1. disks contained in a single sheet:  $D((\eta, k), r)$  disk of radius  $r$  about  $\eta \in \mathbb{C} \setminus \mathbb{R}_{\geq 0}$  at level  $k$ , where  $r$  is sufficiently small such that the disk does not intersect  $\mathbb{R}_{\geq 0}$ ,
2. disks along  $\mathbb{R}_{\geq 0}$ : for  $\eta > 0, k \in \mathbb{Z}, r < |\eta|$ ,

$$A((\eta, k), r) = \{(z, k) : |z - \eta| < r, \text{Im } z \geq 0\} \cup \{(z, k-1) : |z - \eta| < r, \text{Im } z < 0\}.$$

Check that this makes  $R$  a Hausdorff, path-connected space.  $R$  comes with a natural projection  $\pi : R \rightarrow \mathbb{C}^*, (\eta, k) \mapsto \eta$ . This is a continuous map as the preimage of a small disk  $D(\eta, r) \subseteq \mathbb{C}^*$  is the union of countably many copies of that disk, one for each sheet. This is precisely the definition of a covering space.

**Definition** (covering space). A *covering space* of a topological space  $X$  is a continuous map  $p : \tilde{X} \rightarrow X$  where  $\tilde{X}$  and  $X$  are Hausdorff and path-connected and  $p$  is a local homeomorphism, i.e. for each  $\tilde{x} \in \tilde{X}$ , there exists a neighbourhood  $\tilde{N}$  of  $\tilde{x}$  such that  $p|_{\tilde{N}}$  is a homeomorphism.

$X$  is the *base space* of  $p$ .

The cover is *regular* if for all  $x \in X$ , there exists a neighbourhood  $N$  of  $x$  such that  $p^{-1}(N)$  is a disjoint union of sets mapped homeomorphically by  $p$  to  $N$ .

**Note.** Whether including regularity in the definition of covering space is a matter of taste. It is usually included in algebraic topology, e.g. in IID Algebraic Topology.

**Remark.**  $\pi : R \rightarrow \mathbb{C}^*$  is a regular cover.

**Example** (a non-regular cover). Consider  $p : \tilde{X} \rightarrow \mathbb{C}^*, z \mapsto e^z$  where

$$\tilde{X} = \{z \in \mathbb{C} : 0 < \text{Im } z < 4\pi\}.$$

It is a covering space but consider  $1 \in \mathbb{C}^*$ . Any preimage of a sufficiently small disk centred at 1 will be the disjoint union of one disk at  $2\pi i$  and two half disks at 0 and  $4\pi i$  each. Thus  $p$  fails to be a regular cover as we choose the “wrong” domain.

Define

$$\begin{aligned} f : R &\rightarrow \mathbb{C} \\ (\eta, k) &\mapsto \log r + (2\pi k + \theta)i \end{aligned}$$

where  $\eta = re^{i\theta}, 0 \leq \theta < 2\pi$ . Then  $f$  is a continuous bijection and the following diagram commutes:

$$\begin{array}{ccc} R & \xrightarrow{f} & \mathbb{C} \\ \downarrow \pi & \swarrow \text{exp} & \\ \mathbb{C}^* & & \end{array}$$

A similar construction can be done for the multivalued function  $z^{1/n}$  where  $n \in \mathbb{N}$ . As a multivalued function,

$$(re^{i\theta})^{1/n} = r^{1/n} e^{i\theta/n} e^{2\pi ki/n}$$

for  $k \in \mathbb{Z}/n\mathbb{Z}$ . Define  $R_n = \coprod_{k \in \mathbb{Z}/n\mathbb{Z}} \mathbb{C}^*$  and glue near modulo  $n$  (“top sheet to bottom sheet”). Then we have  $f_n, \pi_n$  such that the following diagram commutes:

$$\begin{array}{ccc} R_n & \xrightarrow{f_n} & \mathbb{C}^* \\ \downarrow \pi_n & \swarrow z \mapsto z^n & \\ \mathbb{C}^* & & \end{array}$$

**Definition** (regular/singular point). Let  $f(z) = \sum_{k \geq 0} a_k z^k$  with radius of convergence 1. A point  $z \in \partial D(0, 1)$  is *regular* if there exists a neighbourhood  $N$  of  $z$  and a holomorphic  $g$  on  $N$  such that  $g = f$  on  $N \cap D(0, 1)$ , i.e.  $g$  is a direct analytic continuation of  $f$ .

If  $z \in \partial D(0, 1)$  is not regular it is *singular*.

**Remark.**

1. The regular points of  $\partial D(0, 1)$  form an open set in the subspace topology on  $\partial D(0, 1)$ .
2.  $z$  is regular does *not* mean that the series converges at  $z$ . Consider the classical example  $f(z) = \sum_{k \geq 0} z^k$ , which is regular everywhere except  $z = 1$  ( $g(z) = \frac{1}{1-z}$ ).



3. The converse does not hold either. A series converges at  $z$  does not imply that it is regular there. For example,  $g(z) = \sum_{k \geq 2} \frac{z^k}{(k-1)k}$  converges at all  $z \in \partial D(0, 1)$ . If it was regular at such a point then the second derivative  $g''(z) = \sum_{k \geq 0} z^k$  would also be regular at  $z$ . But  $g''(z) \rightarrow \infty$  as  $z \rightarrow 1$  so  $f$  cannot agree on a neighbourhood of 1 with any holomorphic function.

However, regularity does affect radius of convergence:

**Proposition 1.6.** *Suppose  $f(z) = \sum_{k \geq 0} a_k z^k$  with radius of convergence 1. Then there exists a singular point on  $\partial D(0, 1)$ .*

*Proof.* Suppose not so for each  $z \in \partial D(0, 1)$  there exists a neighbourhood  $N_z$  of  $z$  and  $g_z$  on  $N_z$  holomorphic with  $g_z = f$  on  $N_z \cap D(0, 1)$ . These extensions can be glued together by identity principle. As  $\partial D(0, 1)$  is compact, there exists a finite collection of  $z_1, \dots, z_m \in \partial D(0, 1)$  such that  $N_{z_i}$ 's cover  $\partial D(0, 1)$ . wlog let the neighbourhoods be disks. Then we can choose  $\delta > 0$  sufficiently small such that  $f$  is holomorphic on  $D(0, 1 + \delta)$ . Contradiction.  $\square$

**Definition** (natural boundary). The disk boundary  $\partial D(0, 1)$  is the *natural boundary* for  $f$  if all points on the boundary are singular.

**Example.**  $f(z) = \sum_{k \geq 0} z^{k!}$  has natural boundary  $\partial D(0, 1)$ . Consider  $\omega = e^{2\pi i \frac{p}{q}}$  a root of unity. For  $0 < r < 1$ ,

$$f(r\omega) = \sum_{k \geq 0} r^{k!} \omega^{k!} = \sum_{k \leq q-1} r^{k!} \omega^{k!} + \sum_{k \geq q} r^{k!}$$

so as  $r \rightarrow 1$  the last term goes to infinity so this cannot agree with a holomorphic function on a neighbourhood of  $\omega$ . Since the closure of roots of unity is  $\partial D(0, 1)$ , every point is singular.

### 1.3 Definition of Riemann surface

**Definition** (Riemann surface). A *Riemann surface*  $R$  is a connected, Hausdorff topological space, together with a collection of homeomorphisms  $\phi_\alpha : U_\alpha \rightarrow D_\alpha \subseteq \mathbb{C}$  with  $U_\alpha$  open, so that

1.  $\bigcup_\alpha U_\alpha = R$ ,
2. if  $U_\alpha \cap U_\beta \neq \emptyset$  then  $\phi_\beta \circ \phi_\alpha^{-1}$  is analytic on  $\phi_\alpha(U_\alpha \cap U_\beta)$ .

For a given  $\alpha$ ,  $(U_\alpha, \phi_\alpha)$  is a *chart*, and these compositions  $\phi_\beta \circ \phi_\alpha^{-1}$  are *transition functions*. The collection of charts is known as an *atlas* on  $R$ .

In other words, a Riemann surface is precisely a connected one-dimensional complex manifold.

**Definition** (analytic function between Riemann surfaces). Let  $R, S$  be Riemann surfaces with atlases  $\{(U_\alpha, \phi_\alpha)\}$  and  $\{(V_\beta, \psi_\beta)\}$  respectively. A continuous map  $f : R \rightarrow S$  is *analytic* or *holomorphic* if whenever  $U_\alpha \cap f^{-1}(V_\beta) \neq \emptyset$ ,

then

$$\psi_\beta \circ f \circ \phi_\alpha^{-1}$$

on  $\phi_\alpha(U_\alpha \cap f^{-1}(V_\beta))$  is analytic.

**Remark.** Analyticity is local. An equivalent definition is to say  $f$  is analytic at  $x \in R$  if whenever  $x \in U_\alpha \cap f^{-1}(V_\beta)$  then  $\psi_\beta \circ f \circ \phi_\alpha^{-1}$  is analytic on a neighbourhood of  $\phi_\alpha(x)$ .

**Example.**  $(\mathbb{C}, z)$  is a Riemann surface with one chart where we denote by  $z$  the map  $z \mapsto z$ , as is  $(\mathbb{C}, z + 1)$  and  $(\mathbb{C}, \bar{z})$ .

**Example.** The Möbius band cannot be made into a Riemann surface because it is non-orientable. Informally, if we put an atlas on the Möbius band, we could choose it so that the centre circle maps to a space homeomorphic to a circle. And as analytic transition implies conformity, consistent choice of “inside” of the circle leads to a consistent choice on “inside” on the Möbius band, which is a contradiction.

**Remark.**

1. Each transition function has continuous inverses and so are conformal equivalence on their domains.
2.  $R$  is connected with a path-connected basis so  $R$  is path-connected.

**Definition** (equivalent atlas). Two atlases  $\{(U_\alpha, \phi_\alpha)\}$  and  $\{(V_\beta, \psi_\beta)\}$  are *equivalent* if their union is also an atlas, i.e. whenever  $U_\alpha \cap V_\beta \neq \emptyset$  then  $\psi_\beta \circ \phi_\alpha^{-1}$  on  $\phi(U_\alpha \cap V_\beta)$  is analytic.

**Example.**  $(\mathbb{C}, z)$  and  $(\mathbb{C}, z + 1)$  are equivalent:  $z \mapsto z + 1$  (or  $z \mapsto z - 1$ ) are analytic. On the other hand  $(\mathbb{C}, z)$  and  $(\mathbb{C}, \bar{z})$  are not equivalent as  $z \mapsto \bar{z}$  is not analytic.

We will see later that the notion of equivalence defines an equivalence relation on the collection of atlases on a fixed  $R$ .

**Definition** (conformal structure). An equivalence class of atlases on  $R$  is a *conformal structure* on  $R$ .

**Remark.**

1. If  $R$  is a Riemann surface and  $S \subseteq R$  is open and connected then restrictions of the charts provide a conformal structure on  $S$ , for which  $i : S \hookrightarrow R$  is analytic.
2. Two atlases are equivalent if and only if the identity map is analytic.

**Proposition 1.7.** Let  $f : R \rightarrow S, g : S \rightarrow T$  be analytic maps of Riemann surfaces. Then  $g \circ f$  is analytic.

*Proof.* Suppose  $\{(U_\alpha, \phi_\alpha)\}, \{(V_\beta, \psi_\beta)\}$  and  $\{(W_\gamma, \theta_\gamma)\}$  are atlases on  $R, S$  and  $T$  respectively. Let  $h = g \circ f$  which is continuous. Suffices to show that whenever

$$Y := U_\alpha f^{-1}(V_\beta) \cap h^{-1}(W_\gamma)$$

is nonempty then

$$\theta_\gamma \circ g \circ f \circ \phi_\alpha^{-1}$$

is analytic on  $Y$ . Since  $\psi_\beta \circ f \circ \phi_\alpha^{-1}$  is analytic on  $\phi_\alpha(Y)$  and  $\theta_\gamma \circ g \circ \psi_\beta^{-1}$  is analytic on  $\psi_\beta \circ f(Y)$ , we concluded that

$$\theta_\gamma \circ g \circ \psi_\beta^{-1} \circ \psi_\beta \circ f \circ \phi_\alpha^{-1}$$

is analytic on  $\alpha_\alpha(Y)$ . □

**Corollary 1.8.** *Equivalence of atlas is an equivalence relation.*

**Proposition 1.9.** *Suppose  $R$  is a Riemann surface and  $\pi : \tilde{R} \rightarrow R$  is a covering map. Then there is a unique conformal structure on  $\tilde{R}$  which makes  $\pi$  analytic.*

*Proof.* Given  $\tilde{z} \in \tilde{R}$ , we can find  $\tilde{N}$  of  $\tilde{z}$  on which  $\pi : \tilde{N} \rightarrow N$  is a homeomorphism onto its image. Let  $(V, \varphi)$  be a chart containing the image  $\pi(\tilde{z})$ . Define  $U_{\tilde{z}} = \pi^{-1}(V) \cap \tilde{N}$  and  $\varphi_{\tilde{z}} = \varphi \circ \pi$ . This defines a chart on some neighbourhood of  $\tilde{z}$  and  $\{(U_{\tilde{z}}, \varphi_{\tilde{z}})\}_{\tilde{z} \in \tilde{R}}$  defines an atlas: this is clearly a cover and the transition functions  $\varphi_{\tilde{z}} \circ \varphi_{\tilde{w}}^{-1}$  are the restrictions of transition functions for  $R$ .  $\pi$  is analytic with respect to this conformal structure as the composite maps are transition maps of  $R$ . Uniqueness follows from a similar argument. □

**Example.** Let  $R = \coprod_{k \in \mathbb{Z}} \mathbb{C}^*$  and  $\pi : R \rightarrow \mathbb{C}^*, (\eta, k) \mapsto \eta$  be a covering map. Then there exists a unique conformal structure on  $R$  for which  $\pi$  is analytic. Note that the following diagram commutes,  $f$  is a continuous map and locally  $f$  is the composition of inverse of exp and projection so  $f$  is analytic.

$$\begin{array}{ccc} R & \xrightarrow{f} & \mathbb{C} \\ \downarrow \pi & \swarrow \text{exp} & \\ \mathbb{C}^* & & \end{array}$$

As  $f$  is a bijection by construction, it has a global analytic inverse.

**Definition** (conformal equivalence). An analytic map  $f : R \rightarrow S$  of Riemann surfaces is a *conformal equivalence* if there exists  $g : S \rightarrow R$  analytic inverse to  $f$ .

**Example.**

1.  $f$  as above for the logarithm Riemann surface is a conformal equivalence: the inverse of  $f$  is continuous and locally it is given by  $\pi^{-1} \circ \text{exp}$  so is analytic. Therefore  $(R, \pi)$  and  $(\mathbb{C}, \text{exp})$  cannot be “told apart”.

2.  $(\mathbb{C}, z)$  and  $(\mathbb{C}, \bar{z})$  are conformally equivalent as  $f(z) = \bar{z}$  is a conformal equivalence.

3.

$$\begin{array}{ccc} R_n & \xrightarrow{f_n} & \mathbb{C}^* \\ \downarrow \pi_n & \swarrow z \mapsto z^n & \\ \mathbb{C}^* & & \end{array}$$

Again there exists a unique conformal structure on  $R_n$  making  $\pi$  analytic. It follows that  $f_n$  is analytic. Note that one could imagine adding two points to  $R_n$  and replacing  $\mathbb{C}^*$  with  $\mathbb{C} \cup \{\infty\} = \mathbb{C}_\infty$ . Doing so ruins  $\pi$  as a cover, but sometimes it's worth it (compactness!).

4.  $\mathbb{C}_\infty = \mathbb{C} \cup \{\infty\}$  equipped with the sphere topology via stereographic projection. Define two charts:  $(\mathbb{C}, z)$  and  $(\mathbb{C}_\infty \setminus \{0\}, \frac{1}{z})$ . The transition functions are  $\frac{1}{z}$  which are analytic on  $\mathbb{C}^*$ . It makes  $\mathbb{C}_\infty$  a compact Riemann surface. This is sometimes denoted by  $\widehat{\mathbb{C}}$ .

**Definition** (analytic function). If  $R$  is a Riemann surface, an analytic map  $f : R \rightarrow \mathbb{C}$  is an *analytic function*.

Therefore we use “map” to denote maps between Riemann surfaces and reserve “function” for a  $\mathbb{C}$ -valued map.

Recall from IB Analysis II and IB Complex Analysis

**Theorem 1.10** (inverse function theorem). *Given analytic  $g$  on a domain  $V \subseteq \mathbb{C}$  and  $a \in V$  such that  $g'(a) \neq 0$ , there exists a neighbourhood  $N$  of  $a$  such that  $g|_N : N \rightarrow g(N)$  is a conformal equivalence.*

Consider an analytic function  $f : R \rightarrow \mathbb{C}$ . Given  $p \in R$ , choose a chart  $(U, \varphi)$  with  $p \in U$ . wlog  $f(p) = 0$ . and write  $a = \varphi(p)$ . Locally around  $a$ ,  $f \circ \varphi^{-1}$  is analytic so can be written as  $g(z)^r$  where  $g$  is a conformal equivalence: we can write any nonconstant analytic function sending  $a \mapsto 0$  as  $(z - a)^r h(z)$  where  $h$  is analytic and nonzero on a neighbourhood of  $a$ . Then there is a neighbourhood  $V$  of  $a$  such that  $h(V)$  does not intersect some ray from the origin. This allows us to define a logarithm on  $h(V)$  and  $r$ th root

$$\ell(z) := \exp\left(\frac{1}{r} \log h(z)\right).$$

Then  $f \circ \varphi^{-1}$  is of the form  $g(z)^r$  where  $g(z) = (z - a)\ell(z)$ . Then  $g'(a) = \ell(a) \neq 0$  so conformal.

Define a chart on the intersection of  $\varphi(U)$  with domain of  $g$ , together with the chart  $\psi = g \circ \phi$ . Therefore up to translation, any analytic function on a Riemann surface is locally equivalent to a powering map.

**Definition** (complex torus). Let

$$\Lambda = \mathbb{Z}\tau_1 \oplus \mathbb{Z}\tau_2 \subseteq \mathbb{C}$$

be a lattice where  $\tau_1, \tau_2$  are nonzero in  $\mathbb{C}$  with  $\frac{\tau_1}{\tau_2} \notin \mathbb{R}$ , i.e. are linearly

independent over  $\mathbb{R}$ . The quotient group  $T = \mathbb{C}/\Lambda$  can be equipped with a complex structure, known as a *complex torus*.

The complex structure is constructed as follow. Equip the quotient group  $T = \mathbb{C}/\Lambda$  with quotient topology.  $\pi : \mathbb{C} \rightarrow T$  is continuous so  $T$  is connected.  $\pi$  is also open: if  $U$  is an open set in  $\mathbb{C}$  then

$$\pi^{-1}(\pi(U)) = \bigcup_{\omega \in \Lambda} \omega + U$$

a union of open sets so open. Note that any closed parallelogram

$$P_z = \{z + r\tau_1 + s\tau_2 : r, s \in [0, 1]\}$$

maps onto  $T$  by  $\pi$ . So  $T$  is the continuous image of a compact set so compact.  $T$  is also Hausdorff: note first that  $\Lambda$  is a discrete set: if  $\Lambda$  contained an accumulation point then 0 would also be a limit point, i.e. for all  $k \in \mathbb{N}$  there exists  $m_k, n_k \in \mathbb{Z}$  (and wlog  $n_k \neq 0$ ) such that

$$|m_k\tau_1 - n_k\tau_2| < \frac{1}{k}$$

but then

$$\left| \frac{m_k}{n_k} - \frac{\tau_2}{\tau_1} \right| < \frac{1}{k|n_k|\tau_1} \leq \frac{1}{k|\tau_1|} \rightarrow 0$$

as  $k \rightarrow \infty$  so  $\frac{\tau_2}{\tau_1} \in \mathbb{R}$ , contradiction. Thus given two points  $w_1, w_2 \in T$  we can choose preimages  $x_i \in \pi^{-1}(w_i)$  and neighbourhoods  $N_i$  of  $x_i$  such that

$$\left( \bigcup_{\omega \in \Lambda} N_1 + \omega \right) \cap \left( \bigcup_{\omega \in \Lambda} N_2 + \omega \right) = \emptyset,$$

i.e.  $\pi(N_1)$  and  $\pi(N_2)$  are open disjoint with  $w_i \in \pi(N_i)$ .

Now show  $\pi$  is a covering map: by the above  $\pi$  is a covering map, in fact regular: given  $w \in T$ , choose  $z \in \mathbb{C}$  such that  $\pi^{-1}(w)$  lies in the interior of  $\Lambda$ -translates of  $P_z$ , then choose a neighbourhood  $N$  of the unique preimage of  $w$  in  $P_z$  which is contained in the interior of  $P_z$ . Then  $\pi(N)$  satisfies

$$\pi^{-1}(\pi(N)) = \bigcup_{\omega \in \Lambda} \omega + N$$

is a disjoint union of  $\pi(N)$ .

Finally for the complex structure of  $T$ , given  $a \in T$ , choose  $z \in \mathbb{C}$  such that  $\pi(z) = a$  and a neighbourhood  $N_a$  of  $a$  on which the regularity is realised. In particular, the component  $N_z$  of  $\pi^{-1}(N_a)$  containing  $z$  has  $\pi|_{N_z} : N_z \rightarrow N_a$  a homeomorphism. Define a chart to be the image of a disk  $D_z$  about  $z$  contained in  $N_z$ . Write  $U_a = \pi(D_z)$  and define a chart map  $\phi_a = (\pi|_{N_z})^{-1}$  on  $U_a$ . Claim this defines an atlas on  $T$ : clearly this is a cover and claim the transition maps are translations: suppose  $U_a \cap U_b \neq \emptyset$ , then for each  $w \in U_a \cap U_b$  there exists  $\omega_w \in \Lambda$  such that  $\phi_b^{-1} \circ \phi_a(w) = w + \omega_w$ . But  $w \mapsto \omega_w$  is a continuous function on a connected set and it takes values in a discrete set so is constant. Thus the transition functions are translations so analytic.

In example sheet 1 we'll show that different lattices can yield conformally equivalent tori. In example sheet 2 we give characterisation of conformal equivalence classes of tori in terms of  $\Lambda$ . Complex tori are an important class of Riemann surfaces.

**Theorem 1.11** (open mapping theorem). *Let  $f : R \rightarrow S$  be a nonconstant analytic map of Riemann surfaces. Then  $f$  is an open map.*

*Proof.* Suppose  $W \subseteq R$  is open. Choose  $z \in W$  and charts  $(U, \phi)$  of  $z$ ,  $(V, \psi)$  of  $f(z)$ . Choose a disk  $D$  about  $\phi(z)$  sufficiently small such that

$$\phi^{-1}(D) \subseteq W \cap f^{-1}(V) \cap U.$$

Then

$$(\psi \circ f \circ \phi^{-1})(D)$$

is open so  $(f \circ \phi^{-1})(D) = f(\phi^{-1}(D))$  is open. Thus

$$f(z) \in (f \circ \phi^{-1})(D) \subseteq f(W)$$

so  $f(W)$  is open. □

**Corollary 1.12.** *Let  $f : R \rightarrow S$  be a nonconstant analytic map. If  $R$  is compact then  $f(R) = S$  and  $S$  is compact.*

*Proof.*  $f(R)$  is open because  $f$  is open. It is also closed as it is compact in  $S$ , a Hausdorff space. As  $S$  is connected, the nonempty clopen set  $f(R)$  is precisely  $S$ . The second claim follows. □

**Corollary 1.13.** *Complex tori and  $\mathbb{C}_\infty$  admit no analytic function which are nonconstant.*

We have seen a special case of this in IB Complex Analysis: if  $f : \mathbb{C}_\infty \rightarrow \mathbb{C}$  is analytic then  $f(\infty) \in \mathbb{C}$  so  $f$  is bounded on a neighbourhood of  $\infty$ . By Liouville's theorem  $f$  is constant.

**Definition** (harmonic). Let  $h : R \rightarrow \mathbb{R}$  be a continuous function on a Riemann surface  $R$ .  $h$  is *harmonic* if for all charts  $(U, \phi)$  of  $R$ ,  $h \circ \phi^{-1}$  is harmonic on  $\phi(U)$ .

Recall that a harmonic function on a domain in  $\mathbb{C}$  is the real part of some analytic function locally, same is true for harmonic functions on Riemann surfaces. Thus harmonicity is well-defined independent of charts.

**Proposition 1.14.** *Suppose  $h : R \rightarrow \mathbb{R}$  is harmonic on a Riemann surface  $R$ . Then if  $h$  is nonconstant,  $h$  is open. In particular if  $R$  is compact,  $R$  admits no nonconstant harmonic function.*

*Proof.* Given such a nonconstant  $h : R \rightarrow \mathbb{R}$  and open set  $U \subseteq R$  and  $z \in U \subseteq R$ , choose  $z \in V \subseteq U$  open such that  $h = \operatorname{Re} g$  for some analytic function  $g$  on  $V$ .

$$\begin{array}{ccc} V & & \\ \downarrow g & \searrow h & \\ g(V) & \xrightarrow{\operatorname{Re}} & \mathbb{R} \end{array}$$

By open mapping theorem if  $g$  is nonconstant then it is open. Since  $\operatorname{Re}$  is open, their composition  $h$  is as well. For a proof that  $g$  is nonconstant, see example sheet 1 Q13.

The second claim follows. □

Here we digress a little bit on non-examifiable content before heading to the next chapter. A fundamental result about harmonic functions on Riemann surfaces is that they “almost” exist. We cannot find nonconstant harmonic function from a compact Riemann surface. But as the next best alternative we have

**Theorem 1.15.** *Let  $R$  be a Riemann surface,  $P \neq Q \in R$ . Then there exists a harmonic function  $h : R \setminus \{P, Q\} \rightarrow \mathbb{R}$  such that for any chart  $\phi : U \rightarrow \mathbb{C}$  about  $P$  with  $\phi(P) = 0$ ,  $h \circ \phi^{-1}$  is  $\log |z|$  plus a bounded function near 0, and for any chart  $\psi : V \rightarrow \mathbb{C}$  about  $Q$  with  $\psi(Q) = 0$ ,  $h \circ \psi^{-1}$  is  $-\log |z|$  plus a bounded function near 0.*

**Theorem 1.16** (Riemann existence theorem, classical version). *Let  $R$  be a compact Riemann surface and  $P \neq Q$  in  $R$ . Then there exists a meromorphic function  $f$  on  $R$  with  $f(P) \neq f(Q)$ .*

## 2 Meromorphic functions

**Definition** (meromorphic). A *meromorphic* function on a Riemann surface  $R$  is an analytic map to  $\mathbb{C}_\infty$ .

**Proposition 2.1.** Let  $U \subseteq \mathbb{C}$  is a domain. A function  $f : U \rightarrow \mathbb{C}_\infty$  is meromorphic if and only if it is meromorphic as a map from a Riemann surface.

*Proof.* Assume  $f : U \rightarrow \mathbb{C}_\infty$  is analytic. Given  $a \in U$ , if  $f(a) \in \mathbb{C}$  then  $f$  is an analytic function near  $a$  so meromorphic. If  $f(a) = \infty$  then by considering the chart  $(\mathbb{C} \setminus \{0\}, \frac{1}{z})$  of  $\mathbb{C}_\infty$  near  $\infty$ , we see that  $g(z) = \frac{1}{f(z)}$  is analytic on a neighbourhood of  $a$  with  $g(a) = 0$ . Thus  $g(z) = (z-a)^r h(z)$  where  $h$  is analytic nonzero on a neighbourhood of  $a$  so  $f(z) = (z-a)^{-r} \frac{1}{h(z)}$ , which is meromorphic as a complex function.

All the implications above are equivalences so the reverse also holds.  $\square$

**Example.** In example sheet 1 Q15 we show that  $\{(z, w) : w^2 = z^3 - z\} \subseteq \mathbb{C}^2$  admits a conformal structure via the coordinate projection maps. We may alternatively do this geometrically by gluing. Define  $f(z) = z^3 - z$  and define  $U = \mathbb{C} \setminus ([-1, 0] \cup [1, \infty))$ . Claim that we can define a square root of  $f$  on  $U$  (in other words, direct analytic continuation is transitive): this can be done locally at any point of  $U$ . To show it's well-defined, consider a closed path  $\gamma \subseteq U$ . By a result about winding number in example sheet 1 Q1,

$$I(f \circ \gamma, 0) = I(\gamma, -1) + I(\gamma, 0) + I(\gamma, 1).$$

We can check that  $I(\gamma, 1) = 0$  and  $I(\gamma, -1) = I(\gamma, 0)$  so  $I(f \circ \gamma, 0) \in 2\mathbb{Z}$ . Therefore if we define locally some  $\exp(\frac{1}{2} \log f(z))$ , as we travel along  $\gamma$ , the change in log is

$$\int_\gamma \frac{f'(z)}{f(z) - 0} dz = 2\pi i I(f \circ \gamma, 0) = 2n\pi i$$

for some  $n \in 2\mathbb{Z}$  by argument principle. Thus  $\frac{1}{2} \log f(z)$  change by  $n\pi i$ .

If we let  $U_+, U_-$  be two copies of  $U$  and denote by  $g_+ : U_+ \rightarrow \mathbb{C}$  the map we just constructed and let  $g_- = -g_+$ , glue according to the identifying segments (see image) to obtain a single surface  $R$  and an analytic function  $g$  on  $R$  which agrees with  $g_+$  on  $U_+$  and  $g_-$  on  $U_-$ . Topologically, this is a torus minus four points.

It might be instructive to compare algebraic and geometric/topological construction and advantage of each. Later we'll learn to extract topological information *directly* from the algebraic definition.

### 2.1 Space of germs and monodromy

**Definition** (lift). Suppose  $\pi : \tilde{X} \rightarrow X$  is a (topological) covering map, and  $\gamma : [0, 1] \rightarrow X$  is a path. Then a *lift* of  $\gamma$  is a path  $\tilde{\gamma} : [0, 1] \rightarrow \tilde{X}$  such that  $\pi \circ \tilde{\gamma} = \gamma$ .



**Proposition 2.2.** *If  $\tilde{\gamma}_1, \tilde{\gamma}_2$  are lifts of  $\gamma$  with  $\gamma_1(0) = \gamma_2(0)$  then  $\gamma_1 = \gamma_2$ .*

*Proof.* Define

$$I_1 = \{t \in [0, 1] : \tilde{\gamma}_1(t) = \tilde{\gamma}_2(t)\}$$

$$I_2 = \{t \in [0, 1] : \tilde{\gamma}_1(t) \neq \tilde{\gamma}_2(t)\}$$

Claim that both are open in  $[0, 1]$ . First suppose  $\tau \in I_2$ . As  $\tilde{X}$  is Hausdorff, there exist open disjoint  $U_1, U_2$  with  $\tilde{\gamma}_1(\tau) \in U_1, \tilde{\gamma}_2(\tau) \in U_2$ . Paths are continuous so  $\tilde{\gamma}_1^{-1}(U_1)$  and  $\tilde{\gamma}_2^{-1}(U_2)$  are open neighbourhoods of  $\tau$  in  $[0, 1]$ , their intersection is thus open and contained in  $I_2$ , so  $I_2$  is open.

Suppose now that  $\tau \in I_1$ . Choose an open neighbourhood  $\tilde{N}$  of  $\tilde{\gamma}_1(\tau) = \tilde{\gamma}_2(\tau)$  in  $\tilde{X}$  such that  $\pi|_{\tilde{N}}$  is a homeomorphism onto its image. We have  $\pi(\tilde{\gamma}_1(t)) = \pi(\tilde{\gamma}_2(t))$  for all  $t$  as they are both lifts for  $\gamma$ , so on  $\tilde{N}$  this implies that  $\tilde{\gamma}_1(t) = \tilde{\gamma}_2(t)$ . By continuity of paths, there exists  $\delta > 0$  such that  $t \in (\tau - \delta, \tau + \delta) \subseteq [0, 1]$  implies  $\tilde{\gamma}_1(t), \tilde{\gamma}_2(t) \in \tilde{N}$ . So the interval  $(\tau - \delta, \tau + \delta) \subseteq [0, 1] \subseteq I_1$  so  $I_1$  is open. Thus  $I_1 = [0, 1]$  by connectivity.  $\square$

In summary, lifts are unique up to choice of basepoints.

As for existence, lifts may not exist if the cover is not regular. c.f. nonregular cover exmample. However, it is the *only* obstruction to the construction of a lift.

**Proposition 2.3.** *Suppose  $\pi : \tilde{X} \rightarrow X$  is a regular covering map. Given  $\gamma$  in  $X$  and  $z \in \tilde{X}$  such that  $\pi(z) = \gamma(0)$ , there is a (unique) lift  $\tilde{\gamma}$  of  $\gamma$  with  $\tilde{\gamma}(0) = z$ .*

*Proof.* Define

$$I = \{t \in [0, 1] : \text{exists lift } \tilde{\gamma} : [0, 1] \rightarrow \tilde{X} \text{ of } \gamma \text{ with } \tilde{\gamma}(0) = z\}$$

and let  $\tau = \sup I$ . Suppose for contradiction  $\tau \neq 1$ . Choose an open neighbourhood  $U$  of  $\gamma(\tau)$  such that  $\pi^{-1}(U) = \coprod_j \tilde{U}_j$  and  $\pi|_{\tilde{U}_j}$  is a homeomorphism onto  $U$ . By continuity of  $\gamma$ , there exists  $\delta > 0$  such that  $\gamma([\tau - \delta, \tau + \delta]) \subseteq U$ . Since  $\tau$  is the supremum, exists  $\tau_1 \in [\tau - \delta, \tau]$  such that  $\gamma$  lifts to  $\tilde{\gamma}$  on  $[0, \tau_1]$  with  $\tilde{\gamma}(0) = z$ . Choose  $j$  such that  $\tilde{\gamma}(\tau_1) \in \tilde{U}_j$ . Define an extension of  $\tilde{\gamma}$  on  $[\tau, \tau + \delta]$  by  $(\pi|_{\tilde{U}_j})^{-1} \circ \gamma$ . This gives a lift of  $\gamma$  to  $[0, \tau + \delta]$ , contradicting  $\tau = \sup I$ . Thus  $\tau = 1$ .  $\square$

**Definition** (homotopy). We say paths  $\alpha, \beta$  in  $X$  are *homotopic* in  $X$  if there exists a family  $\gamma_s$  of paths where  $s \in [0, 1]$  such that

1.  $\gamma_0 = \alpha, \gamma_1 = \beta$ ,
2.  $\gamma_s(0) = \alpha(0) = \beta(0)$  and  $\gamma_s(1) = \alpha(1) = \beta(1)$  for all  $s \in [0, 1]$ ,
3.  $[0, 1] \times [0, 1] \rightarrow X, (s, t) \mapsto \gamma_s(t)$  is continuous.

**Definition** (simply connected). We say  $X$  is *simply connected* if any closed path in  $X$  is homotopic to a constant path.

**Theorem 2.4** (monodromy theorem). Let  $\pi : \tilde{X} \rightarrow X$  be a covering map and  $\alpha, \beta$  be paths in  $X$ . Assume that

1.  $\alpha$  and  $\beta$  are homotopic in  $X$ ,
2.  $\alpha$  and  $\beta$  have lifts  $\tilde{\alpha}$  and  $\tilde{\beta}$  respectively with  $\tilde{\alpha}(0) = \tilde{\beta}(0)$ ,
3. every path in  $X$  with  $\gamma(0) = \alpha(0) = \beta(0)$  has a lift  $\tilde{\gamma}$  with  $\tilde{\gamma}(0) = \tilde{\alpha}(0) = \tilde{\beta}(0)$ .

Then the lifts  $\tilde{\alpha}$  and  $\tilde{\beta}$  are homotopic. In particular,  $\tilde{\alpha}(1) = \tilde{\beta}(1)$ .

*Proof.* Non-examinable and omitted. See, for example, IID Algebraic Topology.  $\square$

**Example.** Consider  $z \mapsto z^n$  on  $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$ . This is a regular covering map. Consider a loop  $\gamma$  based at 1. The preimages of 1 are the  $n$ th roots of unity  $\zeta_n^k$ ,  $1 \leq k \leq n$ . Any lift of  $\gamma$  will start at some  $\zeta_n^k$  and end at  $\zeta_n^{k+1}$ . As this is a regular cover, monodromy theorem tells that any path based at 1 has a lift whose endpoints are the same as if we lifted  $\gamma^{0n}$  for some  $n \in \mathbb{Z}$ . Note that to any path  $\gamma$  we have an associated permutation of the set  $\{\zeta_n^k\}_{1 \leq k \leq n}$  by considering where the lift starting at  $\zeta_n^k$  ends, i.e. an element of  $S_n$ . The subgroup of  $S_n$  arising in this way is generated by  $(123 \dots n)$ , which is the cyclic subgroup  $C_n$ .

(It is an exercise to show that any closed path in the punctured plane is homotopic to an integer multiple of  $\gamma$ .)

## 2.2 Space of germs

Suppose  $G \subseteq \mathbb{C}$  is a domain throughout this section.

**Definition** (germ). Given  $z \in G$  and  $(f, D)$  and  $(g, E)$  function elements. We say  $(f, D) \equiv_z (g, E)$  if  $z \in D \cap E$  and  $f = g$  on a neighbourhood of  $z$ . The equivalence class under  $\equiv_z$  of  $(f, D)$  is called the *germ* of  $f$  at  $z$ , denoted by  $[f]_z$ .

Compare this with direct analytic continuation, which is *not* an equivalence relation.

Note that two germs  $[f]_z, [g]_w$  are equal if and only if  $z = w$  and  $f = g$  on a neighbourhood of  $z = w$ .

**Definition.** The *space of germs on  $G$*  is the set

$$\mathcal{G} = \{[f]_z : z \in G \text{ and } (f, D) \text{ is a function element with } z \in D\}.$$

**Notation.** Given a function element  $(f, D)$ , write

$$[f]_D = \{[f]_z : z \in D\} \subseteq \mathcal{G}.$$

The goal is to show that  $\mathcal{G}$  is a Riemann surface. First we define the topology on  $\mathcal{G}$  to be the one generated by basis element of the form  $[f]_D$ . Given  $[f]_D$  and  $[g]_E$ , if  $[h]_z \in [f]_D \cap [g]_E$  then  $z \in D \cap E$  and  $h = f = g$  on a neighbourhood of  $z$ . Thus there exists domain  $D'$  with  $z \in D'$  and  $[h]_{D'} \subseteq [f]_D \cap [g]_E$ .

The topology is Hausdorff: suppose  $[f]_z \neq [g]_w$  in  $\mathcal{G}$ , represented by  $(f, D)$  and  $(g, E)$  respectively. If  $z \neq w$  choose  $D \cap E = \emptyset$  so  $[f]_z \in [f]_D$  and  $[g]_w \in [g]_E$  and these open sets are disjoint. If  $z = w$  choose  $D = E$ . Claim that  $[f]_D \cap [g]_E = \emptyset$ : for suppose  $[h]_s \in [f]_D \cap [g]_E$  then by definition exists neighbourhood  $N$  of  $s$  such that  $h = f = g$  on  $N$  so that  $f = g$  on  $D = E$ . In particular  $[f]_z = [g]_z = [g]_w$ , contradiction.

The connected components of  $\mathcal{G}$  cover  $G$  via the forgetful map  $\pi([f]_z) = z$ . To show this is a cover, let  $V \subseteq G$  be an open set, then

$$\pi^{-1}(V) = \{[f]_z : z \in V\} = \bigcup_{D \subseteq V} \{[f]_D : (f, D) \text{ is a function element}\}$$

which is open. Locally on  $[f]_D$ ,  $\pi$  is a bijection. On such a set  $[f]_D$ ,  $U \subseteq [f]_D$  is open if and only if  $U = \bigcup_{\alpha} [f]_{D_{\alpha}}$ , if and only if  $\pi(U) = \bigcup_{\alpha} D_{\alpha}$ , if and only if  $\pi(U)$  is open.

For conformal structure on  $\mathcal{G}$ , we know by a previous proposition that on each connected component of  $\mathcal{G}$ , there exists a unique conformal structure making  $\pi$  analytic. These charts can be taken to be  $(U, \varphi)$  with  $U = [f]_D$  and  $\varphi = \pi|_U$ .

Moreover  $\mathcal{G}$  comes with an evaluation map

$$\begin{aligned} E : \mathcal{G} &\rightarrow \mathbb{C} \\ [f]_z &\mapsto f(z) \end{aligned}$$

which is analytic: given a chart  $([f]_D, \pi|_{[f]_D})$  of  $\mathcal{G}$ ,

$$E \circ (\pi|_{[f]_D})^{-1}(z) = E([f]_z) = f(z)$$

which is analytic in  $z$ . So  $E$  is analytic.

The stalk space  $\mathcal{G}$  incorporates all information about analytic functions on  $G$ . The following theorem translates topological information of  $\mathcal{G}$  to analytic information of complete analytic functions:

**Theorem 2.5.** *Let  $(f, D)$  and  $(g, E)$  be function elements on  $G$  and  $\gamma : [0, 1] \rightarrow G$  a path with  $\gamma(0) \in D, \gamma(1) \in E$ . Then  $(g, E)$  is analytic continuation of  $(f, D)$  along  $\gamma$  if and only if there exists a lift  $\tilde{\gamma} : [0, 1] \rightarrow \mathcal{G}$  of  $\gamma$  such that  $\tilde{\gamma}(0) = [f]_{\gamma(0)}, \tilde{\gamma}(1) = [g]_{\gamma(1)}$ .*

*Proof.* Suppose there exists  $(f_j, D_j)_{j=1}^n$  and  $0 = t_0 < t_1 < \dots < t_n = 1$  with

$$(f, D) = (f_1, D_1) \sim (f_2, D_2) \sim \dots \sim (f_n, D_n) = (g, E)$$

and  $f_{j-1} = f_j$  on  $D_{j-1} \cap D_j$  and  $\gamma([t_{j-1}, t_j]) \subseteq D_j$  for all  $j$ . We can define a lift

$$\tilde{\gamma}(t) = [f_j]_{\gamma(t)}, t \in [t_{j-1}, t_j]$$

which is well-defined. Claim it is continuous: suppose  $[h]_U \subseteq \mathcal{G}$  and  $\tilde{\gamma}(\tau) \in [h]_U$ . Then

$$\tilde{\gamma}(\tau) = [f_j]_{\gamma(\tau)}$$

for some  $j$  so  $f_j = h$  on an open neighbourhood  $N$  of  $\gamma(\tau)$ . As  $\gamma$  is continuous, there exists  $\delta > 0$  such that if  $|t - \tau| < \delta$  then  $\gamma(t) \in N$ . Then for such  $t$ ,

$$\tilde{\gamma}(t) = [f_j]_{\gamma(t)} = [h]_{\gamma(t)} \in [h]_U$$

so  $\tilde{\gamma}$  is continuous.  $\tilde{\gamma}$  satisfies the lifting properties.

Conversely, suppose there is a lift  $\tilde{\gamma}$  of  $\gamma$  in  $\mathcal{G}$  with  $\tilde{\gamma}(0) = [f]_{\gamma(0)}$  and  $\tilde{\gamma}(1) = [g]_{\gamma(1)}$ . For each  $t \in [0, 1]$ , there exists a function element  $(f_t, D_t)$  with  $\tilde{\gamma}(t) = [f_t]_{\gamma(t)}$ . Note that  $[f_t]_{D_t}$  contains  $\tilde{\gamma}(t)$ . We have for each  $t$  an open interval  $I_t$  with  $\tilde{\gamma}(I_t) \subseteq [f_t]_{D_t}$ . By compactness there exists a finite subcover, say intervals  $[a_k, b_k]$ , ordered so that  $a_{k+1} < b_k$  for  $k = 1, \dots, n-1$ . Choose for each  $k$  some  $t_k \in (a_{k+1}, b_k)$  and rename the corresponding open sets in  $\mathcal{G}$   $[f_k]_{D_k}$ . wlog assume all  $D_k$ 's are disks. Since  $\tilde{\gamma}(0) = [f]_{\gamma(0)}$  and  $\tilde{\gamma}(1) = [g]_{\gamma(1)}$ , we can also assume  $D_1 \subseteq D, D_n \subseteq E$  so  $f = f_1$  on  $D_1$  and  $g = f_n$  on  $D_n$ . for each  $1 \leq k \leq n-1$ , we have

$$\tilde{\gamma}(t_k) \in [f_k]_{D_k} \subseteq [f_{k+1}]_{D_{k+1}},$$

so  $f_k = f_{k+1}$  on  $D_k \cap D_{k+1}$  by the identity principle, as  $f_k = f_{k+1}$  on a neighbourhood of  $\gamma(t_k)$ . So

$$(f, D) \sim (f_1, D_1) \sim \dots \sim (f_n, D_n) \sim (g, E).$$

Finally, on  $[t_{k-1}, t_k]$ , we have

$$\gamma([t_{k-1}, t_k]) = \pi(\tilde{\gamma}([t_{k-1}, t_k])) \subseteq \pi([f_k]_{D_k}) = D_k,$$

thus completing the proof.  $\square$

Once we have established the correspondence between analytic continuation in the base space and lift of paths in stalk space, we can use monodromy theorem (which we stated as a result purely in topology) to deduce uniqueness of analytic continuations:

**Proposition 2.6.** *If  $(g, E)$  and  $(h, E)$  are analytic continuations of  $(f, D)$  along  $\gamma \subseteq G$  then  $g = h$  on  $E$ .*

*Proof.* Let  $(g, E)$  and  $(h, E)$  correspond to lifts  $\tilde{\gamma}$  and  $\tilde{\gamma}'$  respectively based at  $[f]_{\gamma(0)}$ . Uniqueness of lifts implies that  $\tilde{\gamma}(1) = \tilde{\gamma}'(1)$ , i.e.  $[g]_{\gamma(1)} = [h]_{\gamma(1)}$ , so  $g = h$  on a neighbourhood of  $\gamma(1)$  so on  $E$  by identity principle.  $\square$

We can also derive the so-called classical monodromy theorem

**Theorem 2.7** (classical monodromy theorem). *Suppose  $(f, D)$  can be continued analytically along all paths in  $G$  starting in  $D$ . Then if  $(g, E)$  and  $(h, E)$  are analytic continuations of  $f$  along paths  $\alpha$  and  $\beta$  respectively, and  $\alpha$  is homotopic to  $\beta$  then  $g = h$  on  $E$ .*

*Proof.* Find lifts  $\tilde{\alpha}$  and  $\tilde{\beta}$  corresponding to  $(g, E)$  and  $(h, E)$  respectively. Note  $\tilde{\alpha}(0) = [f]_{\alpha(0)} = [f]_{\beta(0)} = \tilde{\beta}(0)$ . By monodromy theorem we have  $\tilde{\alpha}(1) = \tilde{\beta}(1)$  so  $g = h$  on  $E$  again by identity principle.  $\square$

**Corollary 2.8.** *Suppose  $G$  is a simply connected domain and  $(f, D)$  is a function element on  $G$  which can be analytically continued along all  $\gamma \subseteq G$  paths with  $\gamma(0) \in D$ . Then  $f$  extends to  $G$ .*

*Proof.* Define for  $z \in G$ ,  $f(z)$  as follows: we fix  $z_0 \in D$  and find a path  $\gamma$  on  $G$  with  $\gamma(0) = z_0$  and  $\gamma(1) = z$ . By assumption  $f$  can be analytically continued along the path so by classical monodromy theorem and simply connectedness this is well-defined for all  $z \in G$ .  $\square$

**Corollary 2.9.** *Let  $\mathcal{F}$  be a complete analytic function on  $G$  and define*

$$\mathcal{G}_{\mathcal{F}} = \bigcup_{(f,D) \in \mathcal{F}} [f]_D.$$

*Then  $\mathcal{G}_{\mathcal{F}}$  is a connected component of  $\mathcal{G}$ .*

*Proof.* Each  $\mathcal{G}$  is locally path-connected, so path-connected component is the same as connected component. The corollary follows from the theorem.  $\square$

**Definition** (Riemann surface associated to complete analytic function).  $\mathcal{G}_{\mathcal{F}}$  is the *Riemann surface associated to the complete analytic function  $\mathcal{F}$ .*

**Remark.**

1. For each  $(f, D) \in \mathcal{F}$ , the evaluation map  $E$  provides a single valued extension  $f \circ \pi$  on  $[f]_D$  to all of  $\mathcal{G}_{\mathcal{F}}$ .

$$\begin{array}{ccc} [f]_D & \xrightarrow{E} & \mathbb{C} \\ \downarrow \pi & \nearrow f & \\ D & & \end{array}$$

2. In example sheet 2 Q7 we will show that in general  $\pi : \mathcal{G}_{\mathcal{F}} \rightarrow G$  is not a regular cover.

**Example.** Let  $R' = \{(z, w) \in \mathbb{C}^2 : w^2 = z^3 - z, w \neq 0\}$  and let  $\mathcal{G}_{\mathcal{F}}$  be the Riemann surface associated to  $\sqrt{z^3 - z}$  over the domain  $G = \mathbb{C} \setminus \{-1, 0, 1\}$ . Recall that the Riemann surface structure on  $R'$  can be obtained via  $\pi_z$ .

Define

$$\begin{aligned} g : \mathcal{G}_{\mathcal{F}} &\rightarrow R' \\ [f]_z &\mapsto (\pi([f]_z), E([f]_z)) \end{aligned}$$

$g$  is continuous as a product of continuous map.  $g$  is also analytic: if  $([f]_D, \pi)$  is a chart of  $\mathcal{G}_{\mathcal{F}}$  then

$$(\pi_z \circ g \circ \pi^{-1})(s) = (\pi_z \circ g)([f]_s) = \pi_z(\pi([f]_s), E([f]_s)) = \pi([f]_s) = s$$

so analytic and open.

Define an inverse  $h$  of  $g$ : given  $(z, w) \in R'$ , choose a neighbourhood  $N$  on which  $\pi_z$  is a local homeomorphism. Define  $h((z, w)) = [\pi_w \circ \pi_z^{-1}]_z$ , then this is inverse to  $g$  so  $g$  is a conformal equivalence.

We have so far seen three constructions of this Riemann surface:

1. embedded curve construction,
2. space of germs  $\mathcal{G}_{\mathcal{F}}$  of  $\sqrt{z^3 - z}$ ,
3. gluing construction.

The above shows 1 and 2 are equivalent. 1 and 3 are shown to be equivalent in example sheet 1, and 2 and 3 in example sheet 2. The advantage of each is

1. inherits properties of  $\mathbb{C}^2$ ,
2. always exists, although quite abstract. Moreover it is a covering space and is equipped with analytic maps  $\pi$  and  $E$ ,
3. can get our hands on topology. Compactification is easy to describe and visualise.

### 2.3 Compactifying Riemann surfaces

Recall the construction of Riemann sphere. We one-point compactify  $\mathbb{C}$  by adding a point  $\infty$ . Then we define charts  $(\mathbb{C}, z)$  and  $((\mathbb{C} \setminus \{0\}) \cup \{\infty\}, \frac{1}{z})$ . The result is a map  $\mathbb{C} \hookrightarrow \mathbb{C}_{\infty}$  that is not only a (dense) topological embedding into a compact space, but also an analytic map.

In general, suppose  $X$  and  $Y$  are topological spaces,  $U \subseteq X, V \subseteq Y$  open and  $\phi : U \rightarrow V$  a homeomorphism. Let  $Z = X \amalg Y / \sim_{\phi}$  where  $a \sim_{\phi} b$  if and only if  $a = b, a = \phi(b)$  or  $a = \phi^{-1}(b)$ .  $Z$  is known as the *gluing of  $X$  and  $Y$  along  $\phi$* .

**Proposition 2.10.** *Suppose  $X$  and  $Y$  are Riemann surfaces and  $U \subseteq X$  and  $V \subseteq Y$  are nonempty open sets with  $\phi : U \rightarrow V$  an isomorphism of Riemann surfaces. If  $Z = X \amalg Y / \sim_{\phi}$  is Hausdorff then there exists a unique conformal structure on  $Z$  for which  $i_X : X \hookrightarrow Z, i_Y : Y \hookrightarrow Z$  are analytic.*

*Proof.* Note  $i_X, i_Y$  are homeomorphisms. For each chart  $(W, \psi)$  of  $X$  we define a chart  $(i_X(W), \psi \circ i_X^{-1})$  on  $Z$ , similarly for charts of  $Y$ . Transition maps come from those of  $X$  or  $Y$  or those composed with  $\phi$  so are analytic.  $Z$  is connected for if we could disconnect  $Z$  we could disconnect  $X$  or  $Y$ . So  $Z$  admits a conformal structure which makes inclusions analytic. Uniqueness is immediate.  $\square$

**Example.**  $R = \{(z, w) \in \mathbb{C}^2 : w^2 = z^3 - z\}$ . We have seen via gluing that  $R$  minus points where  $w \neq 0$  is a topological torus minus 4 points. Now we compactify it.

Consider  $t = \frac{1}{z}, u = \frac{1}{w}$ . Then the defining equation becomes

$$\frac{1}{u^2} = \frac{1}{t^3} - \frac{1}{t},$$

i.e.

$$t^3 = u^2 - u^2 t^2 = u^2(1 - t^2).$$

Unfortunately it is not a Riemann surface via either  $\pi_t$  or  $\pi_u$  at  $(0, 0)$ . But not all hope is lost. Write

$$t = \left(\frac{u}{t}\right)^2 (1 - t^2)$$

and let  $v = \frac{u}{t} = \frac{z}{w}$ . Then the surface becomes  $Y = \{(t, v) \in \mathbb{C}^2 : t = v^2(1 - t^2)\}$ .  $Y$  does have one or both projections  $\pi_t, \pi_v$  a local homeomorphism around each point, including  $(0, 0)$ , so  $Y$  admits a conformal structure. Consider the isomorphism

$$U \rightarrow V$$

$$(z, w) \mapsto (t, v) = \left(\frac{1}{z}, \frac{z}{w}\right)$$

where  $U \subseteq R$  are points where neither  $z$  nor  $w$  is 0 and  $V$  its isomorphic image in  $Y$ . Consider the gluing of  $R$  and  $Y$  along this isomorphism, call it  $X$ , with inclusions  $i_R : R \hookrightarrow X, i_Y : Y \hookrightarrow X$ . The image of  $R$  in  $X$  is  $X \setminus \{1 \text{ points}\}$  and all points in  $i_R(R)$  can be separated, similarly in  $i_Y(Y)$ . If  $P \in X \setminus i_Y(Y)$  and  $Q \in X \setminus i_R(R)$  so  $P$  is  $(0, 0)$  and  $Q$  is  $(0, 0)$  in local coordinates then

$$\{(z, w) \in R : |z| < 1\}$$

$$\{(t, v) \in Y : |t| < 1\}$$

separate  $P$  and  $Q$ .

$X$  admits a conformal structure for which  $i_R, i_Y$  are analytic. Consider

$$D_R = \{(z, w) \in R : |z| \leq 2\}$$

$$D_Y = \{(t, v) \in Y : |t| \leq 2\}$$

these are compact in  $R \amalg Y$  so map to compact sets in  $X$  via the continuous quotient map. Thus as a finite union of compact sets  $X$  is compact. Note this agrees with our topological intuition that  $R$  can be compactified by the addition of a single point.

## 2.4 Branching

Note these projection maps are *not* coverings on  $R$  (or  $X$ ) but they still have controlled behaviour.

**Definition** (multiplicity/valency). Let  $f : R \rightarrow S$  be an nonconstant analytic map of Riemann surfaces and  $z_0 \in R$ . Locally we can write

$$\hat{f}(z) = \hat{f}(z_0) + (z - z_0)^{m_f(z_0)} g(z)$$

where  $g(z)$  nonzero analytic.  $m_f(z_0)$  is the *multiplicity* or *valency* of  $f$  at  $z_0$ .

**Lemma 2.11.** *Suppose  $g, h$  are nonconstant analytic on domains in  $\mathbb{C}$  and the image of  $h$  is contained in the domain of  $g$ . Then*

$$m_{g \circ h}(z) = m_h(z) m_g(h(z)).$$

*Proof.* Exercise. □

As a corollary, multiplicity is well-defined. Indeed if  $z \in R, f(z) \in S$  and  $(U, \phi), (\tilde{U}, \tilde{\phi})$  are charts for  $z, (V, \psi), (\tilde{V}, \tilde{\psi})$  are charts for  $f(z)$  then  $m_f(z)$  is given by the multiplicity of its local expression, which is

$$\begin{aligned} \tilde{\psi} \circ f \circ \tilde{\phi}^{-1} &= \tilde{\psi} \circ (\psi^{-1} \circ \psi \circ f \circ \phi^{-1} \circ \phi) \circ \tilde{\phi}^{-1} \\ &= (\tilde{\psi} \circ \psi^{-1}) \circ (\psi \circ f \circ \phi^{-1}) \circ (\phi \circ \tilde{\phi}^{-1}) \end{aligned}$$

the transition maps have multiplicity 1 everywhere so by the lemma the multiplicity of the local expressions agree.

Note that the points at which  $m_f(z) > 1$  are isolated, by the (local) principle of isolated zeros. In particular if  $R$  is compact then  $\{z \in R : m_f(z) > 1\}$  is finite.

**Definition** (ramification point, ramification index, branch point). Let  $f : R \rightarrow S$  be nonconstant analytic. If  $z \in R$  has  $m_f(z) > 1$ , we call  $z$  a *ramification point* of  $f$  and  $m_f(z)$  in this case is called the *ramification index* at  $z$ , and  $f(z)$  is a *branch point* of  $f$ .

**Example.** Let  $p(z) = \sum_{k=0}^d a_k z^k$  be an analytic map  $\mathbb{C} \rightarrow \mathbb{C}$  with  $d \geq 1, a_d \neq 0$ .  $p$  extends to an analytic map of the Riemann sphere via  $p(\infty) = \infty$ . At  $\infty$  the local expression is

$$\frac{1}{p(\frac{1}{z})} = \frac{1}{\sum_{k=0}^d a_k z^{-k}} = \frac{z^d}{\sum_{k=0}^d a_k z^{d-k}} = z^d g(z)$$

for some  $g$  analytic and nonzero near 0. Thus  $m_p(\infty) = d$ .

**Theorem 2.12** (valency theorem). *Let  $f : R \rightarrow S$  be a nonconstant analytic map of Riemann surfaces. If  $R$  is compact then there exists  $n \geq 1$  such that  $f$  is an  $n$ -to-1 map counting multiplicity, i.e. for all  $w \in S$ ,*

$$\sum_{z \in f^{-1}(w)} m_f(z) = n.$$

See how false this can be for noncompact Riemann surfaces!

*Proof.* By the principle of isolated zeros  $f^{-1}(w)$  is a finite set for all  $w \in S$ . Define then

$$n(w) = \sum_{z \in f^{-1}(w)} m_f(z).$$

We want to show  $n : S \rightarrow \mathbb{Z}$  is constant. But  $S$  is connected so suffice to show  $n$  is locally constant. Fix  $w_0 \in S$  and let  $f^{-1}(w_0) = \{z_1, \dots, z_q\}$ . For each  $z_k$ , By choosing appropriate charts centred at  $z_k$  and  $w_0$ ,  $f$  is locally  $z \mapsto z^{m_f(z_k)}$ . Moreover we can wlog choose a chart  $(N_k, \phi)$  around  $z_k$  such that  $\phi(N_k)$  is a disk around  $\phi(z_k)$ , on which  $f|_{N_k}$  is an  $m_f(z_k)$ -to-1 map to its image. wlog choose the  $N_k$  disjoint. Note that  $R \setminus \bigcup N_k$  is compact so  $f(R \setminus \bigcup N_k)$  is compact, and there exists open neighbourhood  $M$  of  $w_0$  such that  $f(R \setminus \bigcup N_k) \cap M = \emptyset$ . Let  $N = f(N_1) \cap \dots \cap f(N_q) \cap M$ , an open neighbourhood of  $w_0$ . For  $w \in N$ ,  $f^{-1}(w) \subseteq \bigcup_{k=1}^q N_k$  so

$$n(w) = \sum_{z \in f^{-1}(w)} m_f(z) = \sum_{z \in f^{-1}(w_0)} m_f(z) = n(w_0).$$



□

**Definition** (degree/valency). Let  $f : R \rightarrow S$  be a nonconstant analytic map with  $R$  compact. Then we call the number  $n$  the *degree* or *valency* of  $f$ .

**Corollary 2.13** (fundamental theorem of algebra). *Let  $p$  be nonconstant polynomial of degree  $d$ . Then  $p$  has  $d$  roots in  $\mathbb{C}$ .*

*Proof.*  $p$  extends to a map  $p : \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$  and  $p^{-1}(\infty) = \infty$  with multiplicity  $d$ . So by valency theorem  $0$  also has  $d$  preimages counting multiplicity. □

As a consequence we have

**Proposition 2.14.** *Let  $f : \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$  be an nonconstant analytic map. Then we can write  $f$  as a rational function*

$$f(z) = c \frac{(z - a_1) \cdots (z - a_m)}{(z - b_1) \cdots (z - b_n)}$$

where  $a_i, b_j \in \mathbb{C}, c \in \mathbb{C}^*$ .

*Proof.* Assume wlog  $f^{-1}(\infty) \subseteq \mathbb{C}$ , so that  $f^{-1}(\infty) = \{b_1, \dots, b_n\}$ .  $f$  analytic at  $b_i$  is equivalent to saying that  $\frac{1}{f}$  is an analytic function on a neighbourhood of  $b_i$ , i.e.

$$\frac{1}{f(z)} = (z - b_i)^{m_f(b_i)} g(z)$$

where  $g$  is nonzero analytic at  $b_i$ , so  $f$  has Laurent series

$$f(z) = \sum_{j=-k_i}^{\infty} a_{j,i} (z - b_i)^j$$

so the function

$$f(z) - \sum_{i=1}^n \left( \sum_{j=-k_i}^{-1} a_{j,i} (z - b_i)^j \right)$$

has no preimage of  $\infty$  so is constant. □

**Remark.** If  $f(\infty) \neq \infty$  then  $m \leq n$ , in which case  $\deg f = n$ . In general, by considering  $f^{-1}(\infty)$  and  $f^{-1}(0)$  to see that

$$\deg f = \max\{m, n\}.$$

**Corollary 2.15.** *The analytic isomorphisms of  $\mathbb{C}_\infty$  are Möbius transformations.*

### 3 Riemann-Hurwitz formula

#### 3.1 Triangulation and Euler characteristic

Let  $S$  be a compact Riemann surface. We say  $T \subseteq S$  is a *topological triangle* if it is the homeomorphic image of a closed triangle in  $\mathbb{R}^2$ .

**Definition** (triangulation). A *triangulation* of  $S$  is a finite collection of topological triangles  $\{T_1, \dots, T_n\}$  in  $S$  such that

1.  $\bigcup_{i=1}^n T_i = S$ ,
2. If  $T_i \cap T_j \neq \emptyset$  then  $T_i \cap T_j$  is a common edge or a common vertex,
3. every edge is the edge of exactly two triangles.

**Definition** (Euler characteristic). The *Euler characteristic* of  $S$  is

$$\chi(S) = F - E + V$$

where  $F, E, V$  are the number of faces, edges and vertices respectively for any choice of triangulation of  $S$ .

We state without proof the following results:

**Fact.**

1.  $\chi(S)$  is independent of choice of triangulation (to check this suffices to check it is invariant under refinement).
2. (corollary of classification of compact surfaces) every compact Riemann surface is homeomorphic to a surface with handles. The number of handles is the *genus* of the surface.
3. Every compact Riemann surface can be triangulated and  $\chi(S) = 2 - 2g$  where  $g$  is the genus of  $S$ . It is possible to check this by assuming 2 and induct on  $g$ .

**Example.** Let  $S = \mathbb{C}_\infty$ . Take three orthogonal great circles. Then  $S$  is divided into 8 topological triangles. We have

$$F = 8, V = 6, E = 12$$

so

$$\chi(S) = 8 - 12 + 6 = 2$$

which agrees with  $2 - 2g = 2$  as  $S$  has genus 0.

**Example.** Let  $S$  be a complex torus and triangulate the fundamental parallelogram. Triangulate it into 18 triangles. Have

$$F = 18, E = 27, V = 9$$

so

$$\chi(S) = 0$$

which agrees with  $2 - 2g$  as  $S$  has genus 1.

**Remark.** The topological torus admits infinitely many nonisomorphic conformal structures. See example sheet 2. For future reference, the collection for a fixed surface of the conformal structures it admits is known as the *Teichmüller space*. It is the key object in the advanced study of Riemann surfaces.

**Theorem 3.1** (Riemann-Hurwitz formula). *Let  $f : R \rightarrow S$  be a nonconstant analytic map of compact Riemann surfaces of degree  $n \geq 1$ . Then*

$$\chi(R) = n\chi(S) - \sum_{P \in R} (e_P - 1)$$

where  $e_P = m_f(P)$ , the ramification index of  $f$  at  $P$ .

Intuitively, the first term on RHS says that in a covering every sufficiently small triangle in  $S$  have  $n$  homeomorphic preimages in  $R$ . The second terms add a correction term in case of ramification, as at a branch point  $P$ ,  $e_P$  vertices, each from a preimage, are mapped to a single point.

*Proof.* The idea is to consider preimage of triangulations of  $S$  under  $f$  and compute its Euler characteristic. Call  $\{Q_1, \dots, Q_r\}$  the branch points of  $f$ . Choose chart preimages of disks (as in the proof of valency theorem) and use compactness, we can find open sets  $U_1, \dots, U_r, U_{r+1}, \dots, U_s$  of  $S$  so that

1. if  $j > r$  then  $f^{-1}(U_j)$  is a disjoint union of preimages  $V_1, \dots, V_n$ , and  $f|_{V_i} : V_i \rightarrow U_j$  is an isomorphism,
2. if  $1 \leq j \leq r$  then for each component  $V$  of  $f^{-1}(U_j)$ , we have a unique preimage  $P$  of  $Q_j$ , and  $f|_V : V \rightarrow U_j$  is an  $e_P$ -to-1 map, whose local expression is an  $e_P$ -to-1 powering map.

Let  $\mathcal{T}$  be a triangulation of  $S$  which contains the  $Q_i$ 's as vertices. We can refine the triangulation to assume wlog that every triangle is contained in some  $U_j$ . Given  $T \in \mathcal{T}$ , if  $j > r$  and  $T \subseteq U_j$  then  $f^{-1}(T)$  is a disjoint union of copies of  $T$ . If  $1 \leq j \leq r$  and  $T \subseteq U_j$ , if  $Q_j$  is not a vertex of  $T$ , refine if necessary so triangles are contained in some  $2\pi/e_P$  sector, then again  $f^{-1}(T)$  is a disjoint union of triangles, by valency theorem. If, however,  $Q_j$  is a vertex of  $T$ , again refine if needed, we have  $e_P$  triangles as preimage, which have common vertex  $P$ .

Thus we have that the preimage of  $\mathcal{T}$  is a triangulation of  $R$ . Let  $F', E', V'$  be the number of faces, edges and vertices of this triangulation. Have

$$F' = nF, E' = nE, V' = nV - \sum_{P \in R} (e_P - 1)$$

so

$$\chi(R) = n\chi(S) - \sum_{P \in R} (e_P - 1).$$

□

**Remark.** Equivalently we may express Euler characteristic in terms of genus,

$$2g_R - 2 = n(2g_S - 2) + \sum_{P \in R} (e_P - 1).$$

There are lots we can say about this. At the very least, ramification satisfies certain relation modulo 2. In addition as  $e_P - 1 \geq 0$ , Riemann-Hurwitz restricts the existence of degree  $n$  maps in terms of genus of surfaces. We list a few implications here.

**Corollary 3.2.**

1. 
$$\sum_{P \in R} (e_P - 1) = 0 \pmod{2}.$$
2.  $g_R \geq g_S.$
3. If  $g_S = 0$  and  $g_R > 1$  then  $f$  must be ramified.
4. If  $f$  is unramified and  $g_S > 1$  then either  $g_R = g_S$  and  $n = 1$  or  $g_R > g_S.$
5. If  $R$  admits an unramified self-map with degree  $n > 1$  then  $g_R = 1.$

**Example.** Let  $R' = \{(z, w) : w^2 = z^3 - z\} \subseteq \mathbb{C}^2$ . Let  $f(z) = z^3 - z$ . The ramification points of  $\pi_z : R' \rightarrow \mathbb{C}$  are precisely  $(-1, 0)$ ,  $(0, 0)$  and  $(1, 0)$ . Charts around these points are given by  $\pi_w$  so for example, the valency of  $\pi_z$  at  $(0, 0)$  is the degree of

$$\pi_z \circ \pi_w^{-1}$$

at 0. But  $\pi^{-1}(w) = (f^{-1}(w^2), w)$  for some branch of  $f^{-1}$  locally so  $\pi_z \circ \pi_w^{-1}(w) = f^{-1}(w^2)$ . We can show

$$\begin{aligned} \frac{d}{dw} f^{-1}(w^2) &= 0 \\ \frac{d^2}{dw^2} f^{-1}(w^2) &\neq 0 \end{aligned}$$

so these points ramify with multiplicity 2 each.

We have seen that  $R'$  embeds analytically in its compactification  $R$ . Claim that  $\pi_z$  extends to some analytic map  $\bar{\pi}_z : R \rightarrow \mathbb{C}_\infty$  with  $\bar{\pi}_z(R \setminus R') = \{\infty\}$ :  $\frac{1}{\pi_z}$  is a bounded analytic function on a punctured neighbourhood of  $P \in R \setminus R'$  with

$$\lim_{Q \rightarrow P} \frac{1}{\pi_z(Q)} = 0$$

so  $P$  is a removable singularity of  $\frac{1}{\pi_z}$ . Thus extends to  $P$  and takes value 0. This is precisely an analytic map to  $\mathbb{C}_\infty$ .

Now we have an analytic map  $\bar{\pi}_z : R \rightarrow \mathbb{C}_\infty$  between compact Riemann surfaces. By considering, for example, that for finite  $z$ ,  $w^2 = z^3 - z$  has two solutions,  $\bar{\pi}_z$  has degree 2. Thus the only point  $P$  in  $R \setminus R'$  ramifies with multiplicity 2.

Suppose we merely knew  $\bar{\pi}_z : R \rightarrow \mathbb{C}_\infty$  existed but didn't know how many points over  $\infty$  were in  $R \setminus R'$ . Must have  $\bar{\pi}_z^{-1}(\infty) = R \setminus R'$  so either there

are two points in  $R \setminus R'$  each with degree 1 or one point with degree 2. By Riemann-Hurwitz,

$$2g_R - 2 = 2(0 - 2) + \sum_{P \in R} (e_P - 1)$$

Reduce mod 2, there must be ramification above  $\infty$ , and so there is a single point in  $R \setminus R'$ , mapped with degree 2 to  $\infty$  and  $2g_R - 2 = -4 + 4$  so  $g_R = 1$ .

**Example.** Let  $R$  and  $R'$  be as above and  $X' = \{(x, y) : y^2 = x^4 - 1\} \subseteq \mathbb{C}^2$ .  $X'$  admits a complex structure via  $\pi_x, \pi_y$ , and a compactification  $X$  via topological gluing such that both  $\pi_x$  and  $\pi_y$  extend to  $X$ . There exists a map

$$\begin{aligned} X' &\rightarrow R' \\ (x, y) &\mapsto (x^2, xy) \end{aligned}$$

which extends to an analytic map  $f : X \rightarrow R$ . This map has degree 2, and is ramified if and only if  $x = -x$  and  $y = -y$ , so in particular  $f$  is unramified on  $X'$ . By Riemann-Hurwitz,

$$2g_X - 2 = 2(2 \cdot 1 - 2) + \sum_{P \in X} (e_P - 1).$$

The points of  $X \setminus X'$  are mapped to  $R \setminus R'$ . Again reduce mod 2, there are two points of  $X \setminus X'$  and  $f$  is unramified at both.  $g_X = 1$ .

**Example** (Fermat curve). For  $d \geq 3$ , define the *Fermat curve*

$$F'_d = \{(x, y) \in \mathbb{C}^2 : x^d + y^d = 1\}.$$

By example sheet 3 Q13 there exists a compactification  $F_d$  of  $F'_d$  by gluing

$$\{(t, u) \in \mathbb{C}^2 : 1 + u^d = t^d\}$$

via  $t = \frac{1}{x}, u = \frac{y}{x}$ , and  $\pi_x, \pi_y$  extend to analytic maps  $F_d \rightarrow \mathbb{C}_\infty$ . Note that there are  $d$  points in  $F_d \setminus F'_d$ .  $\pi_x$  has degree  $d$  with ramification at  $(\zeta_d, 0)$  for all  $d$ th roots of unity  $\zeta_d$ . By Riemann-Hurwitz, as  $\pi_x$  has multiplicity  $d$  at such point,

$$2g_{F_d} - 2 = d(2 \cdot 0 - 2) + d(d - 1)$$

so

$$g_{F_d} = \frac{(d-1)(d-2)}{2}.$$

**Corollary 3.3.** *There exist Riemann surfaces of arbitrarily large genus.*

Our next goal is to show complex tori are algebraic, i.e. they are all compactification of  $\{(x, y) \in \mathbb{C}^2 : p(x, y) = 0\}$  where  $p$  is some polynomial.

**Definition** (period). Let  $f : \mathbb{C} \rightarrow \mathbb{C}_\infty$  be nonconstant analytic.  $\omega \in \mathbb{C}$  is a *period* of  $f$  if

$$f(z + \omega) = f(z)$$

for all  $z \in \mathbb{C}$ .

It is immediate by principle of isolate zeros that periods of  $f$  consists of isolated point and they form an additive group. By example sheet 3 Q1, let  $\Lambda$  be the set of periods of  $f$ , then exactly one of the following happens:

1.  $\Lambda = \{0\}$ ,
2.  $\Lambda = \mathbb{Z}\omega$  for some  $\omega \neq 0$ ,
3.  $\Lambda = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2$  with  $\frac{\omega_2}{\omega_1} \notin \mathbb{R}$ .

In case 2 we say  $f$  is *simply periodic* and in case 3  $f$  is *doubly periodic*, or *elliptic*.

**Proposition 3.4.** *Suppose  $f$  is simply periodic. By composing with scalar, assuming  $w \log \Lambda = \mathbb{Z}$ . Then there exists analytic map  $\tilde{f} : \mathbb{C}^\times \rightarrow \mathbb{C}_\infty$  such that*

$$\tilde{f}(e^{2\pi iz}) = f(z).$$

*Proof.* Since  $\Lambda = \mathbb{Z}$  there is a well-defined function  $\tilde{f} : \mathbb{C}^\times \rightarrow \mathbb{C}_\infty$  via  $\tilde{f}(e^{2\pi iz}) = f(z)$ . Left to show this is analytic.

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{f} & \mathbb{C}_\infty \\ z \mapsto e^{2\pi iz} \downarrow & \nearrow \tilde{f} & \\ \mathbb{C}^\times & & \end{array}$$

$\tilde{f}$  is continuous as  $e^{2\pi iz}$  and  $f$  are continuous and open. Locally  $\tilde{f}(w) = f(\frac{\log w}{2\pi i})$  so  $f$  is analytic.  $\square$

Let  $f$  be doubly periodic with  $\Lambda = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2$ , so that  $f$  takes all its values on a fundamental parallelogram

$$P_z = \{z + t_1\omega_1 + t_2\omega_2 : t_1, t_2 \in [0, 1)\}.$$

If  $f$  has no pole then  $f$  is bounded on  $\mathbb{C}$  so constant by Liouville.

**Proposition 3.5.** *Let  $f$  be doubly periodic with periods  $\Lambda$ . Then there exists  $\tilde{f} : \mathbb{C}/\Lambda \rightarrow \mathbb{C}_\infty$  nonconstant analytic so that  $f = \tilde{f} \circ \pi$  where  $\pi : \mathbb{C} \rightarrow \mathbb{C}/\Lambda$  is the quotient.*

*Proof.* Ditto.

$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{f} & \mathbb{C}_\infty \\ \pi \downarrow & \nearrow \tilde{f} & \\ \mathbb{C}/\Lambda & & \end{array}$$

$\square$

**Corollary 3.6.** *If  $f$  is nonconstant elliptic then exists  $n \geq 1$  such that  $\deg f = n$ , i.e. every point in  $\mathbb{C}_\infty$  has  $n$  preimages, counting multiplicity, on any period parallelogram.*

*Proof.* Immediate from valency theorem.  $\square$

Here we say  $f$  has degree  $n$  to mean  $\tilde{f} : \mathbb{C}/\Lambda \rightarrow \mathbb{C}_\infty$  has degree  $n$ .

**Corollary 3.7.** *If  $f$  is nonconstant elliptic of degree  $n$  then  $n \geq 2$ .*

*Proof.* If  $n = 1$  then  $\tilde{f}$  is a conformal isomorphism. But  $\mathbb{C}/\Lambda$  and  $\mathbb{C}_\infty$  are not even homeomorphic.

Alternatively, choose a period parallelogram  $P$  for  $\Lambda$  with no zeros or poles of  $f$  on its boundary (exists by principle of isolated zeros and discreteness of lattice). Then by residue theorem,

$$\sum_{z \in P} \operatorname{res}_z(f) = \oint_{\partial P} f(z) dz = 0$$

where the last equality is because  $f$  is doubly periodic. Thus there are at least 2 poles of  $f$  counting multiplicity.  $\square$

### 3.2 Weierstrass $p$ -function

We exhibit a degree 2 elliptic function associated to each lattice.

**Definition** (Weierstrass  $\wp$ -function). Let  $\Lambda$  be a lattice in  $\mathbb{C}$ . The *Weierstrass  $\wp$ -function* associated to  $\Lambda$  is

$$\wp(z) = \wp_\Lambda(z) = \frac{1}{z^2} + \sum_{\omega \in \Lambda \setminus \{0\}} \left( \frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right).$$

To show that we have written down a sensible thing we should check this converges. We use the following lemma:

**Lemma 3.8.** *Let  $\Lambda$  be a lattice. Then  $\sum_{\omega \in \Lambda \setminus \{0\}} \frac{1}{|\omega|^t}$  converges if and only if  $t > 2$ .*

As a comment, in general when trying to understand a series defined in terms of a lattice  $\Lambda$ , we always relate  $\Lambda$  to the square lattice  $\mathbb{Z} \oplus \mathbb{Z}i$ .

*Proof.* Let  $\Lambda = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2$ . Consider the function  $(t_1, t_2) \mapsto |t_1\omega_1 + t_2\omega_2|$ . This is continuous, and since  $\frac{\omega_1}{\omega_2} \notin \mathbb{R}$ , this is nonzero on  $\mathbb{R}^2 \setminus \{0\}$ , and so achieves positive bounds  $c_1, c_2$  on the compact set  $\{(t_1, t_2) : |t_1| + |t_2| = 1\}$ , i.e.

$$0 < c_1 \leq |t_1\omega_1 + t_2\omega_2| \leq c_2$$

on this set.

Given  $(k, \ell) \in \mathbb{Z}^2 \setminus \{(0, 0)\}$ , let

$$t_1 = \frac{k}{|k| + |\ell|}$$

$$t_2 = \frac{\ell}{|k| + |\ell|}$$

so that

$$c_1(|k| + |\ell|) \leq |k\omega_1 + \ell\omega_2| \leq c_2(|k| + |\ell|).$$

So  $\sum_{\omega \in \Lambda \setminus \{0\}} \frac{1}{|\omega|^2}$  converges if and only if  $\sum_{(k,\ell) \in \mathbb{Z} \setminus \{0\}} \frac{1}{(|k|+|\ell|)^t}$  converges but

$$\sum_{(k,\ell) \in \mathbb{Z} \setminus \{0\}} \frac{1}{(|k|+|\ell|)^t} = \sum_{q=1}^{\infty} \sum_{|k|+|\ell|=q} \frac{1}{q^t} = \sum_{q=1}^{\infty} \frac{4q}{q^t}$$

which converges if and only if  $t > 2$ .  $\square$

**Proposition 3.9.**  $\wp$  converges to an elliptic function with period lattice  $\Lambda$ .  
Moreover  $\wp$  is an even function of degree 2.

*Proof.* We show  $\wp$  converges on compact sets: choose  $R \gg 1$  and let  $|z| \leq R$ . There exist finitely many points  $\Lambda \cap D(0, 2R)$  and if  $|\omega| > 2R$  for  $\omega \in \Lambda$ ,

$$\left| \frac{1}{(z-\omega)^2} - \frac{1}{\omega^2} \right| = \left| \frac{2\omega z - z^2}{\omega^2(z-\omega)^2} \right| \leq \frac{R|2\omega - z|}{|\omega|^4 \cdot \frac{1}{4}} \leq \frac{12R}{|\omega|^3}$$

so by the lemma we have convergence.

Thus  $\wp$  is meromorphic with well-define derivative

$$\wp'(z) = \sum_{\omega \in \Lambda} \frac{-2}{(z-\omega)^3}.$$

$\wp'$  has all  $\omega \in \Lambda$  as periods so  $\wp(z+\omega) - \wp(z)$  is constant. Evaluate at, for example,  $z = -\frac{\omega}{2}$ , we get  $\wp(\frac{\omega}{2}) - \wp(-\frac{\omega}{2})$ . But  $\wp$  is manifestly even so this constant is 0. Thus every  $\omega \in \Lambda$  is a period for  $\wp$ . Moreover since these are the only poles, they are the only periods for  $\wp$ .

Finally since  $0 \mapsto \infty$  with degree 2, and we can choose a period parallelogram with no other lattice points so no other pole of  $\wp$ , by a previous corollary  $\deg \wp = 2$ .  $\square$

**Remark.**

1. Using factorisation through quotient, we can show that  $\wp$  is the unique meromorphic function that satisfy the following:

- (a) elliptic with periods  $\Lambda$ ,
- (b) have poles only in  $\Lambda$ ,
- (c)  $\wp(z) - \frac{1}{z^2} \rightarrow 0$  as  $z \rightarrow 0$ .

2.  $\wp'$  has degree 3, with a pole of degree 3 at lattice points,  $\wp'$  is odd and  $\wp'(\frac{\omega}{2}) = \wp'(-\frac{\omega}{2})$  for  $\omega \in \Lambda$  by periodicity. Thus  $\wp'(\frac{\omega}{2}) = 0$ , i.e.  $\wp' = 0$  at the half-lattice points. There are 3 of these, so these are the only zeros of  $\wp'$ . So  $\wp$  ramifies at the lattice points and half-lattice points. Because  $\deg \wp = 2$ , the multiplicity is 2 at all such points. Additionally, the branch points  $\infty = \wp(0), e_1, e_2, e_3$  are distinct.

Note that Riemann-Hurwitz is satisfied on  $\mathbb{C}/\Lambda$ :  $\wp$  induces an analytic  $\tilde{\wp} : \mathbb{C}/\Lambda \rightarrow \mathbb{C}_{\infty}$  of degree 2 so

$$2g_{\mathbb{C}/\Lambda} - 2 = 2(2g_{\mathbb{C}_{\infty}} - 2) + 4.$$



**Proposition 3.10.** *Let  $\Lambda$  be a lattice. There exist constants  $g_2, g_3$  (depending on  $\Lambda$ ) such that  $\wp_\Lambda$  satisfies*

$$(\wp')^2 = 4\wp^3 - g_2\wp - g_3.$$

*Proof.* Locally around 0, we have Laurent series

$$\wp(z) = \frac{1}{z^2} + az^2 + \dots$$

because  $\wp(z) - \frac{1}{z^2} = 0$  at  $z = 0$  and the first order term vanishes because  $\wp$  is even. So

$$\wp'(z) = -\frac{2}{z^3} + 2az + \dots$$

square and set  $g_2 = 20a$ ,

$$(\wp')^2 - 4\wp^3 = \frac{-g_2}{z^2} + \text{analytic}$$

so

$$(\wp')^2 - 4\wp^3 + g_2\wp(z)$$

is analytic so constant as it has no poles. Thus

$$(\wp')^2 = 4\wp^3 - g_2\wp - g_3$$

as required.  $\square$

**Note.**

1. Note that  $4\wp^3 - g_2\wp - g_3 = 4(\wp - e_1)(\wp - e_2)(\wp - e_3)$  where  $e_1, e_2, e_3$  are the branch points of  $\wp$ . In particular, the sum  $e_1 + e_2 + e_3 = 0$ .
2. The ramification points of  $\wp$  are precisely the elements of the group  $\mathbb{C}/\Lambda$  which are 2-torsion, i.e.  $2P = 0$ .

**Corollary 3.11.** *Let  $\mathbb{C}/\Lambda$  be a complex torus and  $g_2, g_3$  as in the previous proposition. Then  $\mathbb{C}/\Lambda$  is conformally isomorphic to the Riemann surface  $X$  compactifying*

$$X' = \{(z, w) \in \mathbb{C}^2 : w^2 = 4z^3 - g_2z - g_3\}.$$

Every complex torus is algebraic.

*Proof.* Exercise: As the  $e_i$ 's are distinct, the coordinates define a Riemann surface, and add a single point via gluing to give  $X$  with analytic embedding.

Define

$$F : \mathbb{C}/\Lambda \rightarrow X \\ z \mapsto (\wp(z), \wp'(z))$$

Claim  $F$  has degree 1, which will imply that  $F$  is an isomorphism by valency theorem. Let  $P$  be the period parallelogram for  $\Lambda$  centred at 0. For  $z$  in interior of  $P$ ,  $\wp(z) = \wp(w)$  if and only if  $z = \pm w$  for  $w$  in the interior of  $P$ . If  $z = -w$  then  $\wp'(z) = \wp'(-z)$ , and since it is odd,  $\wp'(z) = -\wp'(z) = 0$ . Thus  $z \neq 0$  is the unique preimage under  $F$  of  $F(z)$ , i.e.  $\deg F = 1$ .  $\square$

**Remark.** In example sheet we show  $\mathbb{C}/(\mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2) \cong \mathbb{C}/(\mathbb{Z} \oplus \tau\mathbb{Z})$  where  $\tau = \frac{\omega_2}{\omega_1}$ , and  $\mathbb{C}/(\mathbb{Z} \oplus \tau_1\mathbb{Z}) \cong \mathbb{C}/(\mathbb{Z} \oplus \tau_2\mathbb{Z})$  if and only if  $\tau_1, \tau_2$  are in the same orbit of action of  $\mathrm{SL}_2(\mathbb{Z})$ . Algebraically,  $g_2, g_3$  do *not* quite determine  $\mathbb{C}/\Lambda$ , rather we have the *j-invariant* defined by

$$j(\Lambda) = \frac{1728g_2^3}{g_2^3 - 27g_3^2}$$

and  $j(\Lambda_1) = j(\Lambda_2)$  if and only if  $\mathbb{C}/\Lambda_1 \cong \mathbb{C}/\Lambda_2$ .

**Theorem 3.12.** *Let  $f$  be elliptic with periods  $\Lambda$ . Then*

$$f = Q_1(\wp) + \wp' Q_2(\wp)$$

*for some  $Q_1, Q_2$  rational. Moreover, if  $f$  is even then we can take  $Q_2 = 0$ .*

Compare this with the statement that meromorphic functions on  $\mathbb{C}_\infty$  are precisely rationals.

*Proof.* First assume  $f$  is even. Let

$$E = \{z \in \mathbb{C} : z \in \frac{1}{2}\Lambda \text{ or } f'(z) = 0\}$$

so to avoid branch points of  $\wp$ . As  $f(E)$  is finite, we can find  $c \neq d$  in  $\mathbb{C} \setminus f(E)$  so that

$$g(z) = \frac{f(z) - d}{f(z) - c}$$

has only simple zeros and poles. Then in a period parallelogram centred at 0, we can write the zeros of  $g$  as  $\{a_1, \dots, a_n, -a_1, \dots, -a_n\}$  and poles as  $\{b_1, \dots, b_n, -b_1, \dots, -b_n\}$ . Define

$$h(z) = \frac{(\wp(z) - \wp(a_1)) \cdots (\wp(z) - \wp(a_n))}{(\wp(z) - \wp(b_1)) \cdots (\wp(z) - \wp(b_n))}$$

so that  $h$  has the same poles and zeros (counting multiplicity) as  $g$ . Thus  $g(z) = kh(z)$  for some constant  $k$ , so that

$$f = Q_1(\wp)$$

for some rational  $Q_1$ .

If  $f$  is odd then  $\frac{f}{\wp'}$  is even so

$$f = \wp' Q_2(\wp)$$

by the same argument. Any  $f$  can be written as sum of an even and odd function.  $\square$

## 4 Quotients of Riemann surfaces

**Definition** (properly discontinuous action). Given a group  $G$  of homeomorphisms of a topological space  $X$ , we say  $G$  acts *properly discontinuously* if for every  $x \in X$  there exists a neighbourhood  $U$  of  $x$  such that if  $g(U) \cap h(U) \neq \emptyset$  then  $g = h$ .

**Remark.**

1. If there exists  $g \in G$  nontrivial with a fixed point then  $G$  does not act properly discontinuously.
2. If  $G$  is finite,  $G$  acts properly discontinuously implies that all stabilisers are trivial so all orbits have size  $|G|$ .

Given such a group action, we can form the quotient  $X/G$  and equip it with quotient topology via  $\pi : X \rightarrow X/G$ .  $\pi$  is a local homeomorphism,  $X$  is path-connected so  $\pi$  is a regular cover. Note that if  $G$  is finite then  $\pi$  has well-defined degree  $|G|$ .

**Lemma 4.1.** *If  $X$  is a Riemann surface and  $G \leq \text{Aut}(X)$  acting properly discontinuously, then  $X/G$  is a Riemann surface via  $\pi^{-1}$  together with charts of  $X$ . Moreover the transition maps are in  $G$ .*

*Proof.* Easy. □

**Example.**  $\mathbb{C}/\Lambda$  is the lattice resulted from translation action.

**Proposition 4.2** (Hurwitz). *Let  $X$  be a compact Riemann surface of genus  $g_X \geq 2$ . Let  $G \leq \text{Aut}(X)$  act properly discontinuously on  $X$ . Then  $G$  is finite and*

$$|G| \leq g_X - 1.$$

*Proof.* Suppose  $G$  is not finite. Fix  $P_0 \in X$ . Then  $\{g(P_0) : g \in G\}$  is infinite. By compactness of  $X$  it has a converging subsequence  $g_n(P) \rightarrow Q$ . For any neighbourhood  $V$  of  $Q$  and  $n, m \gg 1$ , we have

$$P_0 \in g_n^{-1}(V) \cap g_m^{-1}(V).$$

Absurd.

By previous remark  $\pi : X \rightarrow X/G$  is a degree  $|G|$  map of compact Riemann surfaces so by Riemann-Hurwitz

$$2g_X - 2 = |G|(2g_{X/G} - 2)$$

as there is no ramification ( $\pi$  is a local homeomorphism). As both sides are positive and  $2g_{X/G} - 2 \geq 2$ ,

$$|G| \leq g_X - 1.$$

□

**Remark.** There is no such bound on  $|G|$  for  $g_X = 1$ : complex tori admit translations via the group structure so choosing an arbitrarily large discrete subgroup of  $\mathbb{C}/\Lambda$  to translate by, we obtain arbitrarily large  $|G|$ . For example let  $G$  be the points  $P \in \mathbb{C}/\Lambda$  such that

$$[n]P = \text{id}_{\mathbb{C}/\Lambda}.$$

## 4.1 Uniformisation theorem and consequences

**Theorem 4.3** (uniformisation theorem). *Let  $R$  be a simply connected Riemann surface. Then  $R$  is conformally isomorphic to one of  $\mathbb{C}, \mathbb{C}_\infty, \mathbb{D}$  (or  $\mathbb{H}$ , the upper half plane).*

*Proof.* Non-examinable. Omitted.  $\square$

**Fact.** Any Riemann surface  $X$  is the quotient  $\pi : \tilde{X} \rightarrow X$  of a simply connected Riemann surface  $\tilde{X}$  by the deck transformation of  $\pi$ , i.e. automorphisms  $p : \tilde{X} \rightarrow \tilde{X}$  such that  $\pi \circ p = \pi$ . This group acts properly discontinuously. Note then that  $\pi$  is regular.

**Definition** (universal cover).  $\pi : \tilde{X} \rightarrow X$  is the *universal cover* of  $X$ .

**Remark.**  $\mathbb{C}, \mathbb{C}_\infty, \mathbb{D}$  are distinct:  $\mathbb{C}_\infty$  is the only compact one, and if there is an isomorphism  $\mathbb{C} \cong \mathbb{D}$  then it is bounded and entire, contradicting Liouville's theorem.

Let's discuss different cases.

1.  $X$  has  $\mathbb{C}_\infty$  as universal cover: i.e. there exists  $G \leq \text{Aut}(\mathbb{C}_\infty)$  acting properly discontinuously such that  $X \cong \mathbb{C}_\infty/G$ . We've already figured out that  $\text{Aut}(\mathbb{C}_\infty)$  is the set of Möbius transformations. Since any Möbius transformation has a fixed point in  $\mathbb{C}_\infty$ ,  $G$  is trivial so  $X \cong \mathbb{C}_\infty$ .

This also agrees with Riemann-Hurwitz: we can only decrease genus.

2.  $X$  has  $\mathbb{C}$  as universal cover. As any automorphism of  $\mathbb{C}$  extends to an automorphism of  $\mathbb{C}_\infty$ , we concluded that  $\text{Aut}(\mathbb{C}) = \{az+b : a \neq 0, b \in \mathbb{C}\}$ . If  $a \neq 1$  then  $z \mapsto az+b$  has a fixed point so  $G \leq \{z+b : b \in \mathbb{C}\}$ . Identify  $z \mapsto z+b$  with  $b$ ,  $G$  must consist of isolated points. By example sheet 3 Q1,  $G$  is one of  $0, \mathbb{Z}\omega$  or  $\mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2$ . So one of the following happens:

$$\begin{aligned} X &\cong \mathbb{C} \\ X &\cong \mathbb{C}/2\pi\mathbb{Z} \cong \mathbb{C}^* \\ X &\cong \mathbb{C}/\Lambda \end{aligned}$$

where  $\Lambda$  is a lattice.

**Remark.** If  $X$  is compact with  $\mathbb{C}_\infty$  or  $\mathbb{C}$  as universal cover,  $g_X \in \{0, 1\}$ . Equivalently if  $g_X \geq 2$  then  $X$  must have  $\mathbb{D}$  as universal cover.

3.  $X$  has  $\mathbb{D}$  as universal cover: we can only barely scratch the surface the final, and most interesting family. Recall (or note) that

$$\text{Aut}(\mathbb{D}) = \left\{ z \mapsto e^{i\theta} \frac{z-a}{1-\bar{a}z} \right\}.$$

Alternatively,

$$\text{Aut}(\mathbb{H}) = \text{PSL}_2(\mathbb{R}) = \text{SL}_2(\mathbb{R})/\{\pm 1\}.$$

The subgroups of  $\text{PSL}_2(\mathbb{R})$  which act properly discontinuously are *Fuchsian groups*, studied in *hyperbolic geometry*.

**Corollary 4.4.** *If  $X$  is uniformised by  $\mathbb{D}$  then  $X$  is a metric space.*

*Proof.*  $\text{Aut}(\mathbb{D})$  are isometries for the hyperbolic metric. □

**Corollary 4.5** (Picard). *If  $f : \mathbb{C} \rightarrow \mathbb{C} \setminus \{0, 1\}$  is analytic then  $f$  is constant.*

*Proof.* Claim that  $\mathbb{C} \setminus \{0, 1\}$  has  $\mathbb{D}$  as universal cover: if not then as it is non-compact it is isomorphic to either  $\mathbb{C}$  or  $\mathbb{C}^*$ . Suppose  $\varphi : \mathbb{C} \setminus \{0, 1\} \rightarrow \mathbb{C}$  is an isomorphism, then by Liouville this is unbounded near  $\infty$ . If the singularity at  $\infty$  is essential then by Casorati-Weierstrass  $\deg \varphi > 1$ . So there is a pole of order 1 at  $\infty$ , so  $\varphi$  extends to an isomorphism  $\mathbb{C}_\infty \setminus \{0, 1\} \rightarrow \mathbb{C}_\infty$ . Similar for  $\mathbb{C}^*$ .

Given such an  $f$ , as  $\mathbb{C}$  is simply connected it can be lifted to  $\tilde{f} : \mathbb{C} \rightarrow \mathbb{D}$ . Can check  $\tilde{f}$  is analytic so constant, so  $f$  is too.

$$\begin{array}{ccc}
 & & \mathbb{D} \\
 & \nearrow \tilde{f} & \downarrow \pi \\
 \mathbb{C} & \xrightarrow{f} & \mathbb{C} \setminus \{0, 1\}
 \end{array}$$

□

**Corollary 4.6** (Riemann mapping theorem). *Let  $U \subsetneq \mathbb{C}$  be a domain. If  $U$  is simply connected then  $U \cong \mathbb{D}$ .*

*Proof.* Similar to above, suffices to show  $U \not\cong \mathbb{C}$ . If it were we would have  $\mathbb{C}_\infty \cong U \cup \{\text{pt}\}$ , contradicting compactness. □

## 5 Non-examinable collection

Let

$$X' = \{(x, y) \in \mathbb{C}^2 : y^2 = (x - \alpha_1) \cdots (x - \alpha_{2g+2})\}$$

where  $\alpha_1, \dots, \alpha_{2g+2}$  are distinct points in  $\mathbb{C}$ .  $X'$  is a Riemann surface via  $\pi_x, \pi_y$ , and can be compactified via gluing to

$$Y' = \{(z, w) \in \mathbb{C}^2 : w^2 = (1 - \alpha_1 z) \cdots (1 - \alpha_{2g+2} z)\}$$

and  $(x, y) \mapsto (\frac{1}{x}, \frac{y}{x^{g+1}})$ . Call the compactification  $X$  and note that  $X \setminus X'$  contains 2 points.  $\pi_x$  extends to  $X$  with  $\pi_x(X \setminus X') = \{\infty\}$ , has degree 2 so by Riemann-Hurwitz

$$2g_X - 2 = 2(-2) + (2g + 2)$$

so  $g_X = g$ . This is a natural generalisation of Fermat curve and in particular shows that we can construct a Riemann surface with arbitrary genus.

Define  $i_h : X \rightarrow X$  by  $(x, y) \mapsto (x, -y)$  on  $X'$  and  $(z, w) \mapsto (z, -w)$  on  $Y'$ . Check it is well-defined.

$$G = \langle i_h \rangle \leq \text{Aut}(X)$$

does *not* act properly discontinuously as  $(\alpha_i, 0)$  is a fixed point for all  $i$ . Nonetheless we have a topological covering  $\pi_x : X \rightarrow X/G$ , which is isomorphic to  $\mathbb{C}_\infty$ . We study Riemann surfaces via understanding the collection of such quotients.

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